

Antibodies to TNF α
✓
-Biological Products

[01] This application claims priority to patent application Great Britain 0013810.7, filed June 6, 2000, which is incorporated in its entirety by reference herein.

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Field of the Invention

[02] The present invention relates to humanized antibody molecules specific to human TNF α , to processes for their production using recombinant DNA technology, and to their therapeutic uses.

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Background of the Invention

[03] The present invention relates to an antibody molecule having specificity for antigenic determinants of human tumour necrosis factor alpha (TNF α). The present invention also relates to the therapeutic uses of the antibody molecule and methods for producing the antibody molecule.

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[04] This invention relates to antibody molecules. In an antibody molecule, there are two heavy chains and two light chains. Each heavy chain and each light chain has at its N-terminal end a variable domain. Each variable domain is composed of four framework regions (FRs) alternating with three complementarily determining regions (CDRs). The residues in the variable domains are conventionally numbered according to a system devised by Kabat *et al.* This system is set forth in Kabat *et al.*, 1987, in Sequences of Proteins of Immunological Interest, US Department of Health and Human Services, NIH, USA (hereafter "Kabat *et al. (supra)*"). This numbering system is used in the present specification except where otherwise indicated.

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[05] The Kabat residue designations do not always correspond directly with the linear numbering of the amino acid residues. The actual linear amino acid sequence may contain fewer or additional amino acids than in the strict Kabat numbering corresponding to a shortening of, or insertion into, a structural component, whether framework or CDR, of the basic variable domain structure. The correct Kabat numbering of residues may be determined for a given antibody by alignment of residues

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of homology in the sequence of the antibody with a "standard" Kabat numbered sequence.

[06] The CDRs of the heavy chain variable domain are located at residues 31-35 (CDRH1), residues 50-65 (CDRH2) and residues 95-102 (CDRH3) according to the
5 Kabat numbering.

[07] The CDRs of the light chain variable domain are located at residues 24-34 (CDRL1), residues 50-56 (CDRL2) and residues 89-97 (CDRL3) according to the Kabat numbering.

[08] Construction of CDR-grafted antibodies is described in European Patent
10 Application EP-A-0239400, which discloses a process in which the CDRs of a mouse monoclonal antibody are grafted onto the framework regions of the variable domains of a human immunoglobulin by site directed mutagenesis using long oligonucleotides. The CDRs determine the antigen binding specificity of antibodies and are relatively short peptide sequences carried on the framework regions of the variable domains.

15 [09] The earliest work on humanising monoclonal antibodies by CDR-grafting was carried out on monoclonal antibodies recognising synthetic antigens, such as NP. However, examples in which a mouse monoclonal antibody recognising lysozyme and a rat monoclonal antibody recognising an antigen on human T-cells were humanised by CDR-grafting have been described by Verhoeven *et al.* (Science, 239, 1534-1536, 1988)
20 and Riechmann *et al.* (Nature, 332, 323-324, 1988), respectively.

[10] Riechmann *et al.*, found that the transfer of the CDRs alone (as defined by Kabat (Kabat *et al.* (*supra*) and Wu *et al.*, J. Exp. Med., 132, 211-250, 1970)) was not sufficient to provide satisfactory antigen binding activity in the CDR-grafted product. It was found that a number of framework residues have to be altered so that they
25 correspond to those of the donor framework region. Proposed criteria for selecting which framework residues need to be altered are described in International Patent Application WO 90/07861.

[11] A number of reviews discussing CDR-grafted antibodies have been published, including Vaughan *et al.* (Nature Biotechnology, 16, 535-539, 1998).

30 [12] TNF α is a pro-inflammatory cytokine that is released by and interacts with cells of the immune system. Thus, TNF α is released by macrophages that have

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been activated by lipopolysaccharides (LPS) of gram negative bacteria. As such, TNF α appears to be an endogenous mediator of central importance involved in the development and pathogenesis of endotoxic shock associated with bacterial sepsis. TNF α has also been shown to be up-regulated in a number of human diseases, including chronic
5 diseases such as rheumatoid arthritis, Crohn's disease, ulcerative colitis and multiple sclerosis. Mice transgenic for human TNF α produce high levels of TNF α constitutively and develop a spontaneous, destructive polyarthritis resembling rheumatoid arthritis (Kaffer *et al.*, EMBO J., 10, 4025-4031, 1991). TNF α is therefore referred to as a pro-inflammatory cytokine.

- 10 [13] Monoclonal antibodies against TNF α have been described in the prior art. Meager *et al.*, (Hybridoma, 6, 305-311, 1987) describe murine monoclonal antibodies against recombinant TNF α . Fendly *et al.*, (Hybridoma, 6, 359-370, 1987) describe the use of murine monoclonal antibodies against recombinant TNF α in defining neutralising epitopes on TNF. Shimamoto *et al.*, (Immunology Letters, 17, 311-318, 1988) describe
15 the use of murine monoclonal antibodies against TNF γ and their use in preventing endotoxic shock in mice. Furthermore, in International Patent Application WO 92/11383, recombinant antibodies, including CDR-grafted antibodies, specific for TNF α are disclosed. Rankin *et al.*, (British J. Rheumatology, 34, 334-342, 1995) describe the use of such CDR-grafted antibodies in the treatment of rheumatoid arthritis. US-A-5 919
20 452 discloses anti-TNF chimeric antibodies and their use in treating pathologies associated with the presence of TNF.

- [14] Antibodies to TNF α have been proposed for the prophylaxis and treatment of endotoxic shock (Beutler *et al.*, Science, 234, 470-474, 1985). Bodmer *et al.*, (Critical Care Medicine, 21, S441-S446, 1993) and Wherry *et al.*, (Critical Care
25 Medicine, 21, S436-S440, 1993) discuss the therapeutic potential of anti-TNF α antibodies in the treatment of septic shock. The use of anti-TNF α antibodies in the treatment of septic shock is also discussed by Kirschenbaum *et al.*, (Critical Care Medicine, 26, 1625-1626, 1998). Collagen-induced arthritis can be treated effectively using an anti-TNF α monoclonal antibody (Williams *et al.* (PNAS-USA, 89, 9784-9788,
30 1992)).

09875221.060601

[15] Increased levels of TNF α are found in both the synovial fluid and peripheral blood of patients suffering from rheumatoid arthritis. When TNF α blocking agents are administered to patients suffering from rheumatoid arthritis, they reduce inflammation, improve symptoms and retard joint damage (McKown *et al.* (Arthritis Rheum., 42, 1204-1208, 1999).

[16] The use of anti-TNF α antibodies in the treatment of rheumatoid arthritis and Crohn's disease is discussed in Feldman *et al.*, (Transplantation Proceedings, 30, 4126-4127, 1998), Adorini *et al.*, (Trends in Immunology Today, 18, 209-211, 1997) and in Feldman *et al.*, (Advances in Immunology, 64, 283-350, 1997). The antibodies to TNF α used in such treatments are generally chimeric antibodies, such as those described in US-A-5 919 452.

[17] Two TNF α blocking products are currently licensed for the treatment of rheumatoid arthritis. The first, called etanercept, is marketed by Immunex Corporation as Enbrel TM. It is a recombinant fusion protein comprising two p75 soluble TNF-receptor domains linked to the Fc portion of a human immunoglobulin. The second, called infliximab, is marketed by Centocor Corporation as RemicadeTM. It is a chimeric antibody having murine anti-TNF α variable domains and human IgG1 constant domains.

[18] The prior art recombinant anti-TNF α antibody molecules generally have a reduced affinity for TNF α compared to the antibodies from which the variable regions or CDRs are derived, generally have to be produced in mammalian cells and are expensive to manufacture. Prior art anti-TNF α antibodies are described in Stephens *et al.*, (Immunology, 85, 668-674, 1995), GB-A-2 246 570 and GB-A-2 297 145.

[19] There is a need for an antibody molecule to treat chronic inflammatory diseases which can be used repeatedly and produced easily and efficiently. There is also a need for an antibody molecule which has high affinity for TNF α and low immunogenicity in humans.

Summary of the Invention

[20] In a first aspect, the present invention provides an antibody molecule having specificity for TNF α , comprising a heavy chain wherein the variable domain

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comprises a CDR (as defined by Kabat *et al.*, (*supra*)) having the sequence given as H1 in Figure 3 (SEQ ID NO:1) for CDRH1, as H2' in Figure 3 (SEQ ID NO:2) or as H2 in Figure 3 (SEQ ID NO:7) for CDRH2 or as H3 in Figure 3 (SEQ ID NO:3) for CDRH3.

[21] The antibody molecule of the first aspect of the present invention
5 comprises at least one CDR selected from H1, H2' or H2 and H3 (SEQ ID NO:1; SEQ ID NO:2 or SEQ ID NO:7 and SEQ ID NO:3) for the heavy chain variable domain. Preferably, the antibody molecule comprises at least two and more preferably all three CDRs in the heavy chain variable domain.

[22] In a second aspect of the present invention, there is provided an antibody
10 molecule having specificity for human TNF α , comprising a light chain wherein the variable domain comprises a CDR (as defined by Kabat *et al.*, (*supra*)) having the sequence given as L1 in Figure 3 (SEQ ID NO:4) for CDRL1, L2 in Figure 3 (SEQ ID NO:5) for CDRL2 or L3 in Figure 3 (SEQ ID NO:6) for CDRL3.

[23] The antibody molecule of the second aspect of the present invention
15 comprises at least one CDR selected from L1, L2 and L3 (SEQ ID NO:4 to SEQ ID NO:6) for the light chain variable domain. Preferably, the antibody molecule comprises at least two and more preferably all three CDRs in the light chain variable domain.

[24] The antibody molecules of the first and second aspects of the present
invention preferably have a complementary light chain or a complementary heavy chain,
20 respectively.

[25] Preferably, the antibody molecule of the first or second aspect of the
present invention comprises a heavy chain wherein the variable domain comprises a CDR (as defined by Kabat *et al.*, (*supra*)) having the sequence given as H1 in Figure 3 (SEQ ID NO:1) for CDRH1, as H2' or H2 in Figure 3 (SEQ ID NO:2 or SEQ ID NO:7)
25 for CDRH2 or as H3 in Figure 3 (SEQ ID NO:3) for CDRH3 and a light chain wherein the variable domain comprises a CDR (as defined by Kabat *et al.*, (*supra*)) having the sequence given as L1 in Figure 3 (SEQ ID NO:4) for CDRL1, as L2 in Figure 3 (SEQ ID NO:5) for CDRL2 or as L3 in Figure 3 (SEQ ID NO:6) for CDRL3.

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Brief Description of the Drawings

- [26] **Figure 1.** Figure 1 shows the framework regions of the human light chain subgroup 1 compared to the framework regions of the hTNF40 light chain (SEQ ID NOS:83 to 90).
- 5 [27] **Figure 2.** Figure 2 shows the framework regions of the human heavy chain subgroup and subgroup 3 compared to the framework regions of the hTNF40 heavy chain (SEQ ID NOS:91 to 98 and 106 to 109).
- [28] **Figure 3.** Figure 3 shows the amino acid sequence of the CDRs of hTNF40 (SEQ ID NOS:1 to 7), wherein CDR H2' is a hybrid CDR wherein the C-
10 terminal six amino acids are from the H2 CDR sequence of a human subgroup 3 germline antibody and the amino acid changes to the sequence resulting from this hybridisation are underlined.
- [29] **Figure 4.** Figure 4 shows vector pMR15.1.
- [30] **Figure 5.** Figure 5 shows vector pMR14.
- 15 [31] **Figure 6.** Figure 6 shows the nucleotide and predicted amino acid sequence of the murine hTNF40V1 (SEQ ID NO: 99).
- [32] **Figure 7.** Figure 7 shows the nucleotide and predicted amino acid sequence of the murine hTNF40Vh (SEQ ID NO:100).
- [33] **Figure 8.** Figure 8 shows the nucleotide and predicted amino acid
20 sequence of hTNF40-gL1 (SEQ ID NO:8).
- [34] **Figure 9.** Figure 9 shows the nucleotide and predicted amino acid sequence of hTNF40-gL2 (SEQ ID NO:9).
- [35] **Figure 10.** Figure 10 shows the nucleotide and predicted amino acid sequence of gh1hTNF40.4 (SEQ ID NO:10).
- 25 [36] **Figure 11.** Figure 11 shows the nucleotide and predicted amino acid sequence of gh3hTNF40.4 (SEQ ID NO:11).
- [37] **Figure 12.** Figure 12 shows vector CTIL5-gL6.
- [38] **Figure 13.** Figure 13 shows the structure of a compound called CDP870 comprising a modified Fab fragment derived from antibody hTNF40 covalently linked
30 via a cysteine residue to a lysyl-maleimide linker wherein each amino group on the lysyl residue has covalently attached to it a methoxy PEG residue wherein n is about 420.

09875221.060601

[39] **Figure 14.** Figure 14 shows vector pTTQ9.

[40] **Figure 15.** Figure 15 shows the sequence of the OmpA oligonucleotide adapter (SEQ ID NO:101). Internal restriction sites are shown in bold. The 5' XhoI cohesive end ligates into the vectorSall site, blocking it. S.D. represents the OmpA

5 Shine Dalgarno sequence.

[41] **Figure 16.** Figure 16 shows vector pACYC184.

[42] **Figure 17.** Figure 17 shows vector pTTO-1.

[43] **Figure 18.** Figure 18 shows vector pTTO-2.

[44] **Figure 19.** Figure 19 shows vector pDNAbEng-G1.

10 [45] **Figure 20.** Figure 20 shows the oligonucleotide cassettes encoding different intergenic sequences for *E. coli* modified Fab expression (SEQ ID NOS:102 to 105).

[46] **Figure 21.** Figure 21 shows periplasmic modified Fab accumulation of IGS variants.

15 [47] **Figure 22.** Figure 22 shows vector pTTO(CDP870).

[48] **Figure 23.** Figure 23 shows the disease activity score (DAS) in patients treated with different doses of CDP870 and placebo. Median and IQ ranges are presented for the per-protocol population with last observation carried forward. Small squares indicate placebo, diamonds indicate 1 mg/kg, triangles indicate 5 mg/kg and
20 large squares indicate 20 mg/kg.

[49] **Figure 24.** Figure 24 shows the erythrocyte sedimentation rate (ESR) (Fig. 24A), C reactive protein (CRP) (Fig. 24B), tender joint count (Fig. 24C), swollen joint count (Fig. 24D), patient's assessment of pain (Fig. 24E), disability index (Fig. 24F), patient's global assessment of disease activity (Fig. 24G), physician's global
25 assessment of disease activity (Fig. 24H) in patients treated with different doses of CDP870 and placebo. Median and IQ range are presented for the per-protocol population with last observation carried forward. Small squares indicate placebo, diamonds indicate 1 mg/kg, triangles indicate 5 mg/kg and large squares indicate 20 mg/kg.

Detailed Description of the Invention

[50] The CDRs given in SEQ IDS NOS:1 and 3 to 7 and in Figure 3 referred to above are derived from a mouse monoclonal antibody hTNF40. However, SEQ ID NO:2 consists of a hybrid CDR. The hybrid CDR comprises part of heavy chain CDR2 from
5 mouse monoclonal antibody hTNF40 (SEQ ID NO:7) and part of heavy chain CDR2 from a human group 3 germline V region sequence.

[51] The complete sequences of the variable domains of the mouse hTNF40 antibody are shown in Figures 6 (light chain) (SEQ ID NO:99) and Figure 7 (heavy chain) (SEQ ID NO:100). This mouse antibody is referred to below as “the donor
10 antibody”.

[52] A first alternatively preferred embodiment of the first or second aspect of the present invention is the mouse monoclonal antibody hTNF40 having the light and heavy chain variable domain sequences shown in Figure 6 (SEQ ID NO:99) and Figure 7 (SEQ ID NO:100), respectively. The light chain constant region of hTNF40 is kappa
15 and the heavy chain constant region is IgG2a.

[53] In a second alternatively preferred embodiment, the antibody according to either of the first and second aspects of the present invention is a chimeric mouse/human antibody molecule, referred to herein as the chimeric hTNF40 antibody molecule. The chimeric antibody molecule comprises the variable domains of the mouse monoclonal
20 antibody hTNF40 (SEQ ID NOS:99 and 100) and human constant domains. Preferably, the chimeric hTNF40 antibody molecule comprises the human C kappa domain (Hieter *et al.*, Cell, 22, 197-207, 1980; Genbank accession number J00241) in the light chain and the human gamma 4 domains (Flanagan *et al.*, Nature, 300, 709-713, 1982) in the heavy chain.

25 [54] In a third alternatively preferred embodiment, the antibody according to either of the first and second aspects of the present invention is a CDR-grafted antibody molecule. The term “a CDR-grafted antibody molecule” as used herein refers to an antibody molecule wherein the heavy and/or light chain contains one or more CDRs (including, if desired, a hybrid CDR) from the donor antibody (e.g. a murine monoclonal
30 antibody) grafted into a heavy and/or light chain variable region framework of an acceptor antibody (e.g. a human antibody).

09875221-060601
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[55] Preferably, such a CDR-grafted antibody has a variable domain comprising human acceptor framework regions as well as one or more of the donor CDRs referred to above.

[56] When the CDRs are grafted, any appropriate acceptor variable region
5 framework sequence may be used having regard to the class/type of the donor antibody from which the CDRs are derived, including mouse, primate and human framework regions. Examples of human frameworks which can be used in the present invention are KOL, NEWM, REI, EU, TUR, TEI, LAY and POM (Kabat *et al. (supra)*). For example, KOL and NEWM can be used for the heavy chain, REI can be used for the light chain
10 and EU, LAY and POM can be used for both the heavy chain and the light chain. The preferred framework regions for the light chain are the human group 1 framework regions shown in Figure 1 (SEQ ID NOS:83, 85, 87 and 89). The preferred framework regions for the heavy chain are the human group 1 and group 3 framework regions shown in Figure 2 (SEQ ID NOS:91, 93, 95 and 97 and SEQ ID NOS:106, 107, 108 and
15 109), respectively.

[57] In a CDR-grafted antibody of the present invention, it is preferred to use as the acceptor antibody one having chains which are homologous to the chains of the donor antibody. The acceptor heavy and light chains do not necessarily need to be derived from the same antibody and may, if desired, comprise composite chains having
20 framework regions derived from different chains.

[58] Also, in a CDR-grafted antibody of the present invention, the framework regions need not have exactly the same sequence as those of the acceptor antibody. For instance, unusual residues may be changed to more frequently-occurring residues for that acceptor chain class or type. Alternatively, selected residues in the acceptor framework
25 regions may be changed so that they correspond to the residue found at the same position in the donor antibody. Such changes should be kept to the minimum necessary to recover the affinity of the donor antibody. A protocol for selecting residues in the acceptor framework regions which may need to be changed is set forth in WO 91/09967.

[59] Preferably, in a CDR-grafted antibody molecule of the present invention,
30 if the acceptor heavy chain has human group 1 framework regions (shown in Figure 2) (SEQ ID NOS:91, 93, 95 and 97), then the acceptor framework regions of the heavy

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chain comprise, in addition to one or more donor CDRs, donor residues at positions 28, 69 and 71 (according to Kabat *et al. (supra)*).

[60] Alternatively, if the acceptor heavy chain has group 1 framework regions, then the acceptor framework regions of the heavy chain comprise, in addition to one or
5 more donor CDRs, donor residues at positions 28, 38, 46, 67, 69 and 71 (according to Kabat *et al. (supra)*).

[61] Preferably, in a CDR-grafted antibody molecule of the present invention, if the acceptor heavy chain has human group 3 framework regions (shown in Figure 2) (SEQ ID NOS:106, 107, 108 and 109), then the acceptor framework regions of the heavy
10 chain comprise, in addition to one or more donor CDRs, donor residues at positions 27, 28, 30, 48, 49, 69, 71, 73, 76 and 78 (according to Kabat *et al. (supra)*).

[62] Preferably, in a CDR-grafted antibody molecule according to the present invention, if the acceptor light chain has human group 1 framework regions (shown in Figure 1) (SEQ ID NOS:83, 85, 87 and 89) then the acceptor framework regions of the
15 light chain comprise donor residues at positions 46 and 60 (according to Kabat *et al. (supra)*).

[63] Donor residues are residues from the donor antibody, i.e. the antibody from which the CDRs were originally derived.

[64] The antibody molecule of the present invention may comprise: a complete
20 antibody molecule, having full length heavy and light chains; a fragment thereof, such as a Fab, modified Fab, Fab', F(ab')₂ or Fv fragment; a light chain or heavy chain monomer or dimer; a single chain antibody, e.g. a single chain Fv in which the heavy and light chain variable domains are joined by a peptide linker. Similarly, the heavy and light chain variable regions may be combined with other antibody domains as appropriate.

25 [65] Preferably the antibody molecule of the present invention is a Fab fragment. Preferably the Fab fragment has a heavy chain having the sequence given as SEQ ID NO:111 and a light chain having the sequence given as SEQ ID NO:113. The amino acid sequences given in SEQ ID NO:111 and SEQ ID NO:113 are preferably encoded by the nucleotide sequences given in SEQ ID NO:110 and SEQ ID NO:112,
30 respectively.

09875221-060601

[66] Alternatively, it is preferred that the antibody molecule of the present invention is a modified Fab fragment wherein the modification is the addition to the C-terminal end of its heavy chain one or more amino acids to allow the attachment of an effector or reporter molecule. Preferably, the additional amino acids form a modified hinge region containing one or two cysteine residue to which the effector or reporter molecule may be attached. Such a modified Fab fragment preferably has a heavy chain having the sequence given as SEQ ID NO:115 and the light chain having the sequence given as SEQ ID NO:113. The amino acid sequence given in SEQ ID NO:115 is preferably encoded by the nucleotide sequence given in SEQ ID NO:114.

10 [67] A preferred effector group is a polymer molecule, which may be attached to the modified Fab fragment to increase its half-life *in vivo*.

[68] The polymer molecule may, in general, be a synthetic or a naturally occurring polymer, for example an optionally substituted straight or branched chain polyalkylene, polyalkenylene or polyoxyalkylene polymer or a branched or unbranched polysaccharide, e.g. a homo- or hetero- polysaccharide.

[69] Particular optional substituents which may be present on the above-mentioned synthetic polymers include one or more hydroxy, methyl or methoxy groups. Particular examples of synthetic polymers include optionally substituted straight or branched chain poly(ethyleneglycol), poly(propyleneglycol) poly(vinylalcohol) or derivatives thereof, especially optionally substituted poly(ethyleneglycol) such as methoxypoly(ethyleneglycol) or derivatives thereof. Particular naturally occurring polymers include lactose, amylose, dextran, glycogen or derivatives thereof. "Derivatives" as used herein is intended to include reactive derivatives, for example thiol-selective reactive groups such as maleimides and the like. The reactive group may be linked directly or through a linker segment to the polymer. It will be appreciated that the residue of such a group will in some instances form part of the product as the linking group between the antibody fragment and the polymer.

[70] The size of the polymer may be varied as desired, but will generally be in an average molecular weight range from 500Da to 50000Da, preferably from 5000 to 4000Da and more preferably from 25000 to 4000Da. The polymer size may in particular be selected on the basis of the intended use of the product. Thus, for example, where the

09875221-060601

product is intended to leave the circulation and penetrate tissue, for example for use in the treatment of a tumour, it may be advantageous to use a small molecular weight polymer, for example with a molecular weight of around 5000Da. For applications where the product remains in the circulation, it may be advantageous to use a higher
5 molecular weight polymer, for example having a molecular weight in the range from 25000Da to 40000Da.

[71] Particularly preferred polymers include a polyalkylene polymer, such as a poly(ethyleneglycol) or, especially, a methoxypoly(ethyleneglycol) or a derivative thereof, and especially with a molecular weight in the range from about 25000Da to
10 about 40000Da.

[72] Each polymer molecule attached to the modified antibody fragment may be covalently linked to the sulphur atom of a cysteine residue located in the fragment. The covalent linkage will generally be a disulphide bond or, in particular, a sulphur-carbon bond.

15 [73] Where desired, the antibody fragment may have one or more effector or reporter molecules attached to it. The effector or reporter molecules may be attached to the antibody fragment through any available amino acid side-chain or terminal amino acid functional group located in the fragment, for example any free amino, imino, hydroxyl or carboxyl group.

20 [74] An activated polymer may be used as the starting material in the preparation of polymer-modified antibody fragments as described above. The activated polymer may be any polymer containing a thiol reactive group such as an α -halocarboxylic acid or ester, e.g. iodoacetamide, an imide, e.g. maleimide, a vinyl sulphone or a disulphide. Such starting materials may be obtained commercially (for
25 example from Shearwater Polymers Inc., Huntsville, AL, USA) or may be prepared from commercially available starting materials using conventional chemical procedures.

[75] As regards attaching poly(ethyleneglycol) (PEG) moieties, reference is made to "Poly(ethyleneglycol) Chemistry, Biotechnical and Biomedical Applications", 1992, J. Milton Harris (ed), Plenum Press, New York, "Poly(ethyleneglycol) Chemistry
30 and Biological Applications", 1997, J. Milton Harris and S. Zalipsky (eds), American Chemical Society, Washington DC and "Bioconjugation Protein Coupling Techniques

09875221.060601

for the Biomedical Sciences", 1998, M. Aslam and A. Dent, Grove Publishers, New York.

[76] Where it is desired to obtain an antibody fragment linked to an effector or reporter molecule, this may be prepared by standard chemical or recombinant DNA procedures in which the antibody fragment is linked either directly or via a coupling agent to the effector or reporter molecule either before or after reaction with the activated polymer as appropriate. Particular chemical procedures include, for example, those described in WO 93/62331, WO 92/22583, WO 90,195 and WO 89/1476. Alternatively, where the effector or reporter molecule is a protein or polypeptide the linkage may be achieved using recombinant DNA procedures, for example as described in WO 86/01533 and EP-A-0392745.

[77] Preferably, the modified Fab fragment of the present invention is PEGylated (i.e. has PEG (poly(ethyleneglycol)) covalently attached thereto) according to the method disclosed in EP-A-0948544. Preferably the antibody molecule of the present invention is a PEGylated modified Fab fragment as shown in Figure 13. As shown in Figure 13, the modified Fab fragment has a maleimide group covalently linked to a single thiol group in a modified hinge region. A lysine residue is covalently linked to the maleimide group. To each of the amine groups on the lysine residue is attached a methoxypoly(ethyleneglycol) polymer having a molecular weight of approximately 20,000 Da. The total molecular weight of the entire effector molecule is therefore approximately 40,000 Da.

[78] Preferably, in the compound shown in Figure 13, the heavy chain of the antibody part has the sequence given as SEQ ID NO:115 and the light chain has the sequence given in SEQ ID NO:113. This compound is referred to herein as CDP870.

[79] The constant region domains of the antibody molecule of the present invention, if present, may be selected having regard to the proposed function of the antibody molecule, and in particular the effector functions which may be required. For example, the constant region domains may be human IgA, IgD, IgE, IgG or IgM domains. In particular, human IgG constant region domains may be used, especially of the IgG1 and IgG3 isotypes when the antibody molecule is intended for therapeutic uses and antibody effector functions are required. Alternatively, IgG2 and IgG4 isotypes may

09875221-060601

be used when the antibody molecule is intended for therapeutic purposes and antibody effector functions are not required, e.g. for simply blocking TNF α activity.

[80] Also, the antibody molecule of the present invention may have an effector or a reporter molecule attached to it. For instance, it may have a macrocycle, for
5 chelating a heavy metal atom, or a toxin, such as ricin, attached to it by a covalent bridging structure. Alternatively, procedures of recombinant DNA technology may be used to produce an antibody molecule in which the Fc fragment (CH2, CH3 and hinge domains), the CH2 and CH3 domains or the CH3 domain of a complete immunoglobulin molecule has (have) been replaced by, or has attached thereto by peptide linkage, a
10 functional non-immunoglobulin protein, such as an enzyme or toxin molecule.

[81] The antibody molecule of the present invention preferably has a binding affinity of at least 0.85×10^{-10} M, more preferably at least 0.75×10^{-10} M and most preferably at least 0.5×10^{-10} M. (It is worth noting that the preferred humanised antibody molecule of the present invention, as described below, has an affinity of about 0.5×10^{-10}
15 M, which is better than the affinity of the murine monoclonal antibody from which it is derived. The murine antibody has an affinity of about 0.85×10^{-10} M.)

[82] Preferably, the antibody molecule of the present invention comprises the light chain variable domain hTNF40-gL1 (SEQ ID NO:8) and the heavy chain variable domain gh3hTNF40.4 (SEQ ID NO:11). The sequences of the variable domains of these
20 light and heavy chains are shown in Figures 8 and 11, respectively.

[83] The present invention also relates to variants of the antibody molecule of the present invention, which have an improved affinity for TNF α . Such variants can be obtained by a number of affinity maturation protocols including mutating the CDRs (Yang *et al.*, J. Mol. Biol., 254, 392-403, 1995), chain shuffling (Marks *et al.*,
25 Bio/Technology, 10, 779-783, 1992), use of mutator strains of *E. coli* (Low *et al.*, J. Mol. Biol., 250, 359-368, 1996), DNA shuffling (Patten *et al.*, Curr. Opin. Biotechnol., 8, 724-733, 1997), phage display (Thompson *et al.*, J. Mol. Biol., 256, 77-88, 1996) and sexual PCR (Crameri *et al.*, Nature, 391, 288-291, 1998). Vaughan *et al.* (*supra*) discusses these methods of affinity maturation.

[84] The present invention also provides a DNA sequence encoding the heavy and/or light chain(s) of the antibody molecule of the present invention.

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[85] Preferably, the DNA sequence encodes the heavy or the light chain of the antibody molecule of the present invention.

[86] In one preferred embodiment, the DNA sequence encodes a light chain and comprises the sequence shown in SEQ ID NO:8 (hTNF40-gL1) or SEQ ID NO:9 (h-TNF-40-gL2) or a degenerate equivalent thereof.

[87] In an alternatively preferred embodiment, the DNA sequence encodes a heavy chain and comprises the sequence shown in SEQ ID NO:10 (gh1hTNF40.4) or SEQ ID NO:11 (gh3hTNF40.4) or a degenerate equivalent thereof.

[88] The DNA sequence of the present invention may comprise synthetic DNA, for instance produced by chemical processing, cDNA, genomic DNA or any combination thereof.

[89] The present invention also relates to a cloning or expression vector comprising one or more DNA sequences of the present invention. Preferably, the cloning or expression vector comprises two DNA sequences, encoding the light chain and the heavy chain of the antibody molecule of the present invention, respectively.

[90] In a preferred embodiment, the present invention provides an *E. coli* expression vector comprising a DNA sequence of the present invention. Preferably the expression vector is pTTO(CDP870) as shown schematically in Figure 22.

[91] The present invention also comprises vector pDNAbEng-G1 as shown in Figure 19.

[92] General methods by which the vectors may be constructed, transfection methods and culture methods are well known to those skilled in the art. In this respect, reference is made to "Current Protocols in Molecular Biology", 1999, F. M. Ausubel (ed), Wiley Interscience, New York and the Maniatis Manual produced by Cold Spring Harbor Publishing.

[93] DNA sequences which encode the antibody molecule of the present invention can be obtained by methods well known to those skilled in the art. For example, DNA sequences coding for part or all of the antibody heavy and light chains may be synthesised as desired from the determined DNA sequences or on the basis of the corresponding amino acid sequences.

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[94] DNA coding for acceptor framework sequences is widely available to those skilled in the art and can be readily synthesised on the basis of their known amino acid sequences.

[95] Standard techniques of molecular biology may be used to prepare DNA sequences coding for the antibody molecule of the present invention. Desired DNA sequences may be synthesised completely or in part using oligonucleotide synthesis techniques. Site-directed mutagenesis and polymerase chain reaction (PCR) techniques may be used as appropriate.

[96] Any suitable host cell/vector system may be used for expression of the DNA sequences encoding the antibody molecule of the present invention. Bacterial, for example *E. coli*, and other microbial systems may be used, in part, for expression of antibody fragments such as Fab and F(ab')₂ fragments, and especially Fv fragments and single chain antibody fragments, for example, single chain Fvs. Eukaryotic, e.g. mammalian, host cell expression systems may be used for production of larger antibody molecules, including complete antibody molecules. Suitable mammalian host cells include CHO, myeloma or hybridoma cells.

[97] The present invention also provides a process for the production of an antibody molecule according to the present invention comprising culturing a host cell comprising a vector of the present invention under conditions suitable for leading to expression of protein from DNA encoding the antibody molecule of the present invention, and isolating the antibody molecule.

[98] Preferably the process for the production of the antibody molecule of the present invention comprises culturing *E. coli* comprising an *E. coli* expression vector comprising the DNA sequence of the present invention under conditions suitable for leading to expression of protein from the DNA sequence and isolating the antibody molecule. The antibody molecule may be secreted from the cell or targeted to the periplasm by suitable signal sequences. Alternatively, the antibody molecules may accumulate within the cell's cytoplasm. Preferably the antibody molecule is targeted to the periplasm. Depending on the antibody molecule being produced and the process used, it is desirable to allow the antibody molecules to refold and adopt a functional

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conformation. Procedures for allowing antibody molecules to refold are well known to those skilled in the art.

[99] The antibody molecule may comprise only a heavy or light chain polypeptide, in which case only a heavy chain or light chain polypeptide coding sequence needs to be used to transfect the host cells. For production of products comprising both heavy and light chains, the cell line may be transfected with two vectors, a first vector encoding a light chain polypeptide and a second vector encoding a heavy chain polypeptide. Alternatively, a single vector may be used, the vector including sequences encoding light chain and heavy chain polypeptides.

10 [100] The present invention also provides a therapeutic or diagnostic composition comprising an antibody molecule of the present invention in combination with a pharmaceutically acceptable excipient, diluent or carrier.

[101] The present invention also provides a process for preparation of a therapeutic or diagnostic composition comprising admixing the antibody molecule of the present invention together with a pharmaceutically acceptable excipient, diluent or carrier.

[102] The antibody molecule may be the sole active ingredient in the therapeutic or diagnostic composition or may be accompanied by other active ingredients including other antibody ingredients, for example anti-T cell, anti-IFN γ or anti-LPS antibodies, or non-antibody ingredients such as xanthines.

[103] The pharmaceutical compositions should preferably comprise a therapeutically effective amount of the antibody of the invention. The term "therapeutically effective amount" as used herein refers to an amount of a therapeutic agent needed to treat, ameliorate or prevent a targeted disease or condition, or to exhibit a detectable therapeutic or preventative effect. For any antibody, the therapeutically effective dose can be estimated initially either in cell culture assays or in animal models, usually in rodents, rabbits, dogs, pigs or primates. The animal model may also be used to determine the appropriate concentration range and route of administration. Such information can then be used to determine useful doses and routes for administration in humans.

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[104] The precise effective amount for a human subject will depend upon the severity of the disease state, the general health of the subject, the age, weight and gender of the subject, diet, time and frequency of administration, drug combination(s), reaction sensitivities and tolerance/response to therapy. This amount can be determined by
5 routine experimentation and is within the judgement of the clinician. Generally, an effective dose will be from 0.01 mg/kg to 50 mg/kg, preferably 0.1 mg/kg to 20 mg/kg, more preferably about 15 mg/kg. As shown in the Examples below, doses of 1, 5 and 20 mg/kg have been used to treat patients suffering from rheumatoid arthritis.

[105] Compositions may be administered individually to a patient or may be
10 administered in combination with other agents, drugs or hormones.

[106] The dose at which the antibody molecule of the present invention is administered depends on the nature of the condition to be treated, the degree to which the level of TNF α to be neutralised is, or is expected to be, raised above a desirable level, and on whether the antibody molecule is being used prophylactically or to treat an
15 existing condition.

[107] Thus, for example, where the product is for treatment or prophylaxis of a chronic inflammatory disease, such as rheumatoid arthritis, suitable doses of the antibody molecule of the present invention lie in the range of between 0.5 and 50 mg/kg, more preferably between 1 and 20 mg/kg and most preferably about 15 mg/kg. The frequency
20 of dose will depend on the half-life of the antibody molecule and the duration of its effect.

[108] If the antibody molecule has a short half-life (e.g. 2 to 10 hours) it may be necessary to give one or more doses per day. Alternatively, if the antibody molecule has a long half life (e.g. 2 to 15 days) it may only be necessary to give a dosage once per day,
25 per week or even once every 1 or 2 months.

[109] A pharmaceutical composition may also contain a pharmaceutically acceptable carrier for administration of the antibody. The carrier should not itself induce the production of antibodies harmful to the individual receiving the composition and should not be toxic. Suitable carriers may be large, slowly metabolised macromolecules
30 such as proteins, polypeptides, liposomes, polysaccharides, polylactic acids, polyglycolic acids, polymeric amino acids, amino acid copolymers and inactive virus particles.

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[110] Pharmaceutically acceptable salts can be used, for example mineral acid salts, such as hydrochlorides, hydrobromides, phosphates and sulphates, or salts of organic acids, such as acetates, propionates, malonates and benzoates.

[111] Pharmaceutically acceptable carriers in therapeutic compositions may additionally contain liquids such as water, saline, glycerol and ethanol. Additionally, auxiliary substances, such as wetting or emulsifying agents or pH buffering substances, may be present in such compositions. Such carriers enable the pharmaceutical compositions to be formulated as tablets, pills, dragees, capsules, liquids, gels, syrups, slurries and suspensions, for ingestion by the patient.

10 [112] Preferred forms for administration include forms suitable for parenteral administration, e.g. by injection or infusion, for example by bolus injection or continuous infusion. Where the product is for injection or infusion, it may take the form of a suspension, solution or emulsion in an oily or aqueous vehicle and it may contain formulatory agents, such as suspending, preservative, stabilising and/or dispersing agents. Alternatively, the antibody molecule may be in dry form, for reconstitution before use with an appropriate sterile liquid.

[113] Once formulated, the compositions of the invention can be administered directly to the subject. The subjects to be treated can be animals. However, it is preferred that the compositions are adapted for administration to human subjects.

20 [114] The pharmaceutical compositions of this invention may be administered by any number of routes including, but not limited to, oral, intravenous, intramuscular, intra-arterial, intramedullary, intrathecal, intraventricular, transdermal, transcutaneous (for example, see WO98/20734), subcutaneous, intraperitoneal, intranasal, enteral, topical, sublingual, intravaginal or rectal routes. Hyposprays may also be used to administer the pharmaceutical compositions of the invention. Typically, the therapeutic compositions may be prepared as injectables, either as liquid solutions or suspensions. Solid forms suitable for solution in, or suspension in, liquid vehicles prior to injection may also be prepared.

[115] Direct delivery of the compositions will generally be accomplished by injection, subcutaneously, intraperitoneally, intravenously or intramuscularly, or delivered to the interstitial space of a tissue. The compositions can also be administered

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into a lesion. Dosage treatment may be a single dose schedule or a multiple dose schedule.

[116] It will be appreciated that the active ingredient in the composition will be an antibody molecule. As such, it will be susceptible to degradation in the gastrointestinal tract. Thus, if the composition is to be administered by a route using the gastrointestinal tract, the composition will need to contain agents which protect the antibody from degradation but which release the antibody once it has been absorbed from the gastrointestinal tract.

[117] A thorough discussion of pharmaceutically acceptable carriers is available in Remington's Pharmaceutical Sciences (Mack Publishing Company, N.J. 1991).

[118] It is also envisaged that the antibody of the present invention will be administered by use of gene therapy. In order to achieve this, DNA sequences encoding the heavy and light chains of the antibody molecule under the control of appropriate DNA components are introduced into a patient such that the antibody chains are expressed from the DNA sequences and assembled *in situ*.

[119] The present invention also provides the antibody molecule of the present invention for use in treating a disease mediated by $\text{TNF}\alpha$.

[120] The present invention further provides the use of the antibody molecule according to the present invention in the manufacture of a medicament for the treatment of a disease mediated by $\text{TNF}\alpha$.

[121] The antibody molecule of the present invention may be utilised in any therapy where it is desired to reduce the level of biologically active $\text{TNF}\alpha$ present in the human or animal body. The $\text{TNF}\alpha$ may be circulating in the body or present in an undesirably high level localised at a particular site in the body.

[122] For example, elevated levels of $\text{TNF}\alpha$ are implicated in acute and chronic immune and immunoregulatory disorders, infections including septic, endotoxic and cardiovascular shock, inflammatory disorders, neurodegenerative diseases, malignant diseases and alcohol induced hepatitis. Details of the numerous disorders associated with elevated levels of $\text{TNF}\alpha$ are set out in US-A-5 919 452. The antibody molecule of the present invention may be utilised in the therapy of diseases mediated by $\text{TNF}\alpha$. Particularly relevant diseases which may be treated by the antibody molecule of the

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present invention include sepsis, congestive heart failure, septic or endotoxic shock, cachexia, adult respiratory distress syndrome, AIDS, allergies, psoriasis, TB, inflammatory bone disorders, blood coagulation disorders, burns, rejection episodes following organ or tissue transplant, Crohn's disease and autoimmune diseases, such as

5 thyroiditis and rheumatoid- and osteo-arthritis.

[123] Additionally, the antibody molecule or composition may be used: to reduce side effects associated with $\text{TNF}\alpha$ generation during neoplastic therapy; to eliminate or reduce shock-related symptoms associated with the treatment or prevention of graft rejection by use of an anti-lymphocyte antibody; or for treating multi-organ

10 failure.

[124] The antibody molecule of the present invention is preferably used for treatment of rheumatoid- or osteo-arthritis.

[125] The present invention also provides a method of treating human or animal subjects suffering from or at risk of a disorder mediated by $\text{TNF}\alpha$, the method

15 comprising administering to the subject an effective amount of the antibody molecule of the present invention.

[126] The antibody molecule of the present invention may also be used in diagnosis, for example in the *in vivo* diagnosis and imaging of disease states involving elevated levels of $\text{TNF}\alpha$.

[127] The present invention also provides an antibody molecule comprising a hybrid CDR comprising a truncated donor CDR sequence wherein the missing portion of the truncated donor CDR is replaced by a different sequence and forms a functional CDR. The term "hybrid CDR" as used herein means a CDR comprising a donor CDR which has been truncated at one or more positions, for example at one or both of its ends.

20

25 The missing portion of the truncated donor CDR is replaced by a different sequence to form a complete and functional CDR. The hybrid CDR has at least one amino acid change compared to the complete donor CDR. The sequence replacing the truncated portion of the CDR can be any sequence. Preferably the non-donor part of the CDR sequence is from the antibody from which the framework regions of the antibody

30 molecule are derived, such as a germline antibody sequence.

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[128] It has been found that antibody molecules comprising a hybrid CDR retain substantially the same binding affinity as an antibody molecule comprising complete donor CDRs. The term "substantially the same binding affinity" as used herein means at least 70%, more preferably at least 85% and most preferably at least 95% of the binding affinity of the corresponding antibody molecule comprising complete donor CDRs. As noted above, in certain cases, the affinity of the antibody of the invention may be greater than that of the donor antibody. The use of a hybrid CDR provides the advantages of reducing the amount of foreign (i.e. donor) sequence present in the antibody molecule and may increase the binding affinity of the antibody molecule compared to the corresponding antibody molecule comprising complete donor CDRs.

[129] Any of the CDRs of the antibody molecule can be hybrid. Preferably CDR2 of the heavy chain is hybrid in the antibody molecule.

[130] Preferably the truncation of the donor CDR is from 1 to 8 amino acids, more preferably from 4 to 6 amino acids. It is further preferred that the truncation is made at the C-terminus of the CDR.

[131] Depending on the sequence of the truncated portion of the CDR and the sequence of the different sequence replacing the missing portion, a number of amino acid changes may be made. Preferably at least 2 amino acid changes are made, more preferably at least 3 amino acid changes are made and most preferably at least 4 amino acid changes are made.

[132] A particular embodiment of this aspect of the invention is an antibody according to the first aspect of the invention wherein the second CDR in the heavy chain has the sequence given as SEQ ID NO:2. This has better affinity for its antigen than does the donor antibody from which part of the CDR is derived.

[133] The present invention also provides a nucleic acid sequence which encodes the antibody molecule comprising a hybrid CDR of the present invention.

[134] The present invention also provides an expression vector containing the nucleic acid sequence encoding the antibody molecule comprising a hybrid CDR of the present invention.

[135] The present invention also provides a host cell transformed with the vector of the present invention.

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[136] The present invention also provides a process for the production of an antibody molecule comprising a hybrid CDR comprising culturing the host cell of the present invention and isolating the antibody molecule.

[137] The present invention is further described by way of illustration only in the following examples which refer to the accompanying Figures, in which:

[138]

Examples

10 [139] Gene Cloning and Expression of a Chimeric hTNF40 Antibody Molecule

[140] RNA Preparation from hTNF40 Hybridoma Cells

[141] Total RNA was prepared from 3×10^7 hTNF40 hybridoma cells as described below. Cells were washed in physiological saline and dissolved in RNAzol
15 (0.2 ml per 10^6 cells). Chloroform (0.2 ml per 2 ml homogenate) was added, the mixture shaken vigorously for 15 seconds and then left on ice for 15 minutes. The resulting aqueous and organic phases were separated by centrifugation for 15 minutes in an Eppendorf centrifuge and RNA was precipitated from the aqueous phase by the addition of an equal volume of isopropanol. After 15 minutes on ice, the RNA was pelleted by
20 centrifugation, washed with 70% ethanol, dried and dissolved in sterile, RNase free water. The yield of RNA was 400 µg.

[142] PCR Cloning of hTNF40 Vh and VI

[143] cDNA sequences coding for the variable domains of hTNF40 heavy and
25 light chains were synthesised using reverse transcriptase to produce single stranded cDNA copies of the mRNA present in the total RNA, followed by Polymerase Chain Reaction (PCR) on the cDNAs with specific oligonucleotide primers.

[144] a) cDNA Synthesis

[145] cDNA was synthesised in a 20 µl reaction volume containing the
30 following reagents: 50mM Tris-HCl pH 8.3, 75 mM KCl, 10 mM dithiothreitol, 3 mM $MgCl_2$, 0.5 mM each deoxyribonucleoside triphosphate, 20 units RNAsin, 75 ng random

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hexanucleotide primer, 2 µg hTNF40 RNA and 200 units Moloney Murine Leukemia Virus reverse transcriptase. After incubation at 42°C for 60 minutes, the reaction was terminated by heating at 95°C for 5 minutes.

[146] b) PCR

5 **[147]** Aliquots of the cDNA were subjected to PCR using combinations of primers specific for the heavy and light chains. The nucleotide sequences of the 5' primers for the heavy and light chains are shown in Tables 1 and 2 respectively. These sequences all contain, in order, a restriction site starting 7 nucleotides from their 5' ends, the sequence GCCGCCACC (SEQ ID NO:12), to allow optimal translation of the
10 resulting mRNAs, an initiation codon and 20-30 nucleotides based on the leader peptide sequences of known mouse antibodies (Kabat *et al.*, Sequences of proteins of immunological interest, 5th Edition, 1991, U.S. Department of Health and Human Services, Public Health Service, National Institutes of Health).

[148] The 3' primers are shown in Table 3. The light chain primer spans the J-C
15 junction of the antibody and contains a restriction site for the enzyme Sp1I to facilitate cloning of the V1 PCR fragment. The heavy chain 3' primers are a mixture designed to span the J-C junction of the antibody. The 3' primer includes an ApaI restriction site to facilitate cloning. The 3' region of the primers contains a mixed sequence based on those found in known mouse antibodies (Kabat *et al.*, 1991, *supra*).

20 **[149]** The combinations of primers described above enable the PCR products for Vh and V1 to be cloned directly into an appropriate expression vector (see below) to produce chimeric (mouse-human) heavy and light chains and for these genes to be expressed in mammalian cells to produce chimeric antibodies of the desired isotype.

[150] Incubations (100 µl) for the PCR were set up as follows. Each reaction
25 contained 10 mM Tris-HCl pH 8.3, 1.5 mM MgCl₂, 50 mM KCl, 0.01% w/v gelatin, 0.25 mM each deoxyribonucleoside triphosphate, 10 pmoles 5' primer mix (Table 4), 10 pmoles 3' primer (CL12 (light chain) or R2155 (heavy chain) (Table 3)), 1 µl cDNA and 1 unit Taq polymerase. Reactions were incubated at 95°C for 5 minutes and then cycled through 94°C for 1 minute, 55°C for 1 minute and 72°C for 1 minute. After 30 cycles,
30 aliquots of each reaction were analysed by electrophoresis on an agarose gel. Light chain reactions containing 5' primer mixes from light chain pools 1, 2 and 7 produced

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bands with sizes consistent with full length V_H fragments while the reaction from heavy chain reaction pool 3 produced a fragment with a size expected of a V_H gene. The band produced by the light chain pool 1 primers was not followed up as previous results had shown that this band corresponds to a light chain pseudogene produced by the hybridoma cell. The band produced by the light chain pool 7 primers was weaker than the band from the pool 2 primers and therefore was not followed up. Only the band from light chain reaction pool 2, which was the strongest band, was followed up.

[151] c) Molecular Cloning of the PCR Fragments

[152] The DNA fragments produced in the light chain reaction pool 2 were digested with the enzymes BstBI and SphI, concentrated by ethanol precipitation, electrophoresed on a 1.4% agarose gel and DNA bands in the range of 400 base pairs recovered. These were cloned by ligation into the vector pMR15.1 (Figure 4) that had been restricted with BstBI and SphI. After ligation, mixtures were transformed into *E. coli* LM 1035 and plasmids from the resulting bacterial colonies screened for inserts by digestion with BstBI and SphI. Representatives with inserts from each ligation were analysed further by nucleotide sequencing.

[153] In a similar manner, the DNA fragments produced in heavy chain reaction pool 3 were digested with HindIII and ApaI and cloned into the vector pMR14 (Figure 5) that had been restricted with HindIII and ApaI. Again, representative plasmids containing inserts were analysed by nucleotide sequencing.

[154] d) Nucleotide Sequence Analysis

[155] Plasmid DNA from a number of isolates containing V_H inserts was sequenced using the primers R1053 (see Table 5) (which primes in the 3' region of the HCMV promoter in pMR14) and R720 (see Table 5) (which primes in the 5' region of human C – gamma 4 and allows sequencing through the DNA insert on pMR14). It was found that the nucleotide sequences of the V_H insert in a number of clones were identical, except for differences in the signal peptide and J regions. This indicated that the clones examined are independent isolates arising from the use of different primers from the mixture of oligonucleotides during the PCR stage. The determined nucleotide sequence and predicted amino acid sequence of the variable domain of the heavy chain of antibody hTNF40 (hTNF40V_H) are given in Figure 7 (SEQ ID NO:100).

09875221-060601

[156] To analyse the light chain clones, the sequence derived from priming with R1053 (see Table 5) and R684 (SEQ ID NO:62) (which primes in the 5' region of human C-kappa and allows sequencing through the DNA insert on pMR15.1) was examined. The nucleotide sequence and predicted amino acid sequence of the VI genes arising from reactions in pool 2 were similarly analysed. Again it was found that the nucleotide sequences of the VI insert in a number of clones were identical, except for differences in the signal peptide and J regions, indicating that the clones examined were independent isolates arising from the use of different primers from the mixture of oligonucleotides used during the PCR stage. The determined nucleotide sequence and predicted amino acid sequence of the variable domain of the light chain of antibody hTNF40 (hTNF40V1) are given in Figure 6 (SEQ ID NO:99).

TABLE 1

Oligonucleotide primers for the 5' region of mouse heavy chains.

- 15
- CH1 : 5'ATGAAATGCAGCTGGGTCAT(G,C)TTCTT3' (SEQ ID NO:13)
- CH2 : 5'ATGGGATGGAGCT(A,G)TATCAT(C,G)(C,T)TCTT3' (SEQ ID NO:14)
- CH3 : 5'ATGAAG(A,T)TGTGGTTAAACTGGGTTTT3' (SEQ ID NO:15)
- CH4 : 5'ATG(G,A)ACTTTGGG(T,C)TCAGCTTG(G,A)T3' (SEQ ID NO:16)
- 20 CH5 : 5'ATGGACTCCAGGCTCAATTTAGTTTT3' (SEQ ID NO:17)
- CH6 : 5'ATGGCTGTC(C,T)T(G,A)G(G,C)GCT(G,A)CTCTTCTG3' (SEQ ID NO:18)
- CH7 : 5'ATGG(G,A)ATGGAGC(G,T)GG(G,A)TCTTT(A,C)TCTT3' (SEQ ID NO:19)
- 25 CH8 : 5'ATGAGAGTGCTGATTCTTTTGTG3' (SEQ ID NO:20)
- CH9 : 5'ATGG(C,A)TTGGGTGTGGA(A,C)CTTGCTATT3' (SEQ ID NO:21)
- CH10 : 5'ATGGGCAGACTTACATTCTCATTCT3' (SEQ ID NO:22)
- CH11 : 5'ATGGATTTTGGGCTGATTTTTTTTATTG3' (SEQ ID NO:23)
- CH12 : 5'ATGATGGTGTAAAGTCTTCTGTACCT3' (SEQ ID NO:24)

[157] Each of the above primers has the sequence
5'GCGCGCAAGCTTGCCGCCACC3' (SEQ ID NO:25) added to its 5' end.

TABLE 2

5 Oligonucleotide primers for the 5' region of mouse light chains.

- CL1 : 5'ATGAAGTTGCCTGTTAGGCTGTTGGTGCT3' (SEQ ID NO:26)
CL2 : 5'ATGGAG(T,A)CAGACACACTCCTG(T,C)TATGGGT3' (SEQ ID NO:27)
CL3 : 5'ATGAGTGTGCTCACTCAGGTCCT3' (SEQ ID NO:28)
10 CL4 : 5'ATGAGG(G,A)CCCCTGCTCAG(A,T)TT(C,T)TTGG3' (SEQ ID NO:29)
CL5 : 5'ATGGATTT(T,A)CAGGTGCAGATT(T,A)TCAGCTT3' (SEQ ID NO:30)
CL5A : 5'ATGGATTT(T,A)CA(A,G)GTGCAGATT(T,A)TCAGCTT3' (SEQ ID NO:31)
CL6 : 5'ATGAGGT(T,G)C(T,C)(T,C)TG(T,C)T(G,C)AG(T,C)T(T,C)CTG(A,G)G3'
(SEQ ID NO:32)
15 CL7 : 5'ATGGGC(T,A)TCAAGATGGAGTCACA3' (SEQ ID NO:33)
CL8 : 5'ATGTGGGGA(T,C)CT(G,T)TTT(T,C)C(A,C)(A,C)TTTTCAAT3'
(SEQ ID NO:34)
CL9 : 5'ATGGT(G,A)TCC(T,A)CA(G,C)CTCAGTTCCTT3' (SEQ ID NO:35)
CL10 : 5'ATGTATATATGTTTGTGTCTATTTC3' (SEQ ID NO:36)
20 CL11 : 5'ATGGAAGCCCCAGCTCAGCTTCTCTT3' (SEQ ID NO:37)
CL12A : 5'ATG(A,G)AGT(T,C)(A,T)CAGACCCAGGTCTT(T,C)(A,G)T3' (SEQ ID NO:38)
CL12B : 5'ATGGAGACACATTCTCAGGTCTTGT3' (SEQ ID NO:39)
CL13 : 5'ATGGATTACAGGCCCAGGTTCTTAT3' (SEQ ID NO:40)
CL14 : 5'ATGATGAGTCCTGCCCAGTTCCTGTT3' (SEQ ID NO:41)
25 CL15 : 5'ATGAATTTGCCTGTTTCATCTCTTGGTGCT3' (SEQ ID NO:42)
CL16 : 5'ATGGATTTTCAATTGGTCCTCATCTCCTT3' (SEQ ID NO:43)
CL17A : 5'ATGAGGTGCCTA(A,G)CT(C,G)AGTTCCTG(A,G)G3' (SEQ ID NO:44)
CL17B : 5'ATGAAGTACTCTGCTCAGTTTCTAGG3' (SEQ ID NO:45)
CL17C : 5'ATGAGGCATTCTCTTCAATTCTTGGG3' (SEQ ID NO:46)

30

[158] Each of the above primers has the sequence
5'GGACTGTTCTGAAGCCGCCACC3' (SEQ ID NO:47) added to its 5' end.

TABLE 3

Oligonucleotide primers for the 3' ends of mouse Vh and Vl genes.

Light chain (CL12) :

5 5'GGATACAGTTGGTGCAGCATCCGTACGTTT3' (SEQ ID NO:48)

Heavy chain (R2155) :

5'GCAGATGGGCCCTTCGTTGAGGCTG(A,C)(A,G)GAGAC(G,T,A)GTGA3'

(SEQ ID NO:49)

10

TABLE 4

a) 5' Primer mixtures for light chain PCR reactions

15 pool 1 : CL2.

pool 2 : CL7.

pool 3 : CL13.

pool 4 : CL6.

pool 5 : CL5A, CL9, CL17A.

20 pool 6 : CL8.

pool 7 : CL12A.

pool 8 : CL1, CL3, CL4, CL5, CL10, CL11, CL2B, CL14, CL15, CL16, CL17B,
CL17C

25 b) 5' Primer mixtures for heavy chain PCR reactions

pool 1 : CH1, CH2, CH3, CH4.

pool 2 : CH5, CH6, CH7, CH8.

pool 3 : CH9, CH10, CH11, CH12.

30

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Table 5

Primers used in nucleotide sequence analysis

- R1053 : 5'GCTGACAGACTAACAGACTGTTCC3' (SEQ ID NO:50)
 5 R720 : 5'GCTCTCGGAGGTGCTCCT3' (SEQ ID NO:51)

[159] Evaluation of Activities of Chimeric Genes

[160] The activities of the chimeric genes were evaluated by expressing them in mammalian cells and purifying and quantitating the newly synthesised antibodies. The methodology for this is described below, followed by a description of the biochemical and cell based assays used for the biological characterisation of the antibodies.

[161] a) Production of Chimeric hTNF40 Antibody Molecule

[162] Chimeric antibody for biological evaluation was produced by transient expression of the appropriate heavy and light chain pairs after co-transfection into Chinese Hamster Ovary (CHO) cells using calcium phosphate precipitation.

[163] On the day prior to transfection, semi-confluent flasks of CHO-L761 cells were trypsinised, the cells counted and T75 flasks set up each with 10^7 cells.

[164] On the next day, the culture medium was changed 3 hours before transfection. For transfection, the calcium phosphate precipitate was prepared by mixing 1.25 ml of 0.25 M CaCl_2 containing 50 μg of each of heavy and light chain expression vectors with 1.25 ml of 2 x HBS (16.36 g NaCl, 11.0 g HEPES and 0.4 g Na_2HPO_4 in 1 litre water with the pH adjusted to 7.1 with NaOH) and adding immediately into the medium of the cells. After 3 hours at 37°C in a CO_2 incubator, the medium and precipitate were removed and the cells shocked by the addition of 15 ml 15% glycerol in phosphate buffered saline (PBS) for 1 minute. The glycerol was removed, the cells washed once with PBS and incubated for 48-96 hours in 25 ml medium containing 10 mM sodium butyrate. Antibody could be purified from the culture medium by binding to and elution from protein A-Sepharose.

[165] b) ELISA

[166] For the ELISA, Nunc ELISA plates were coated overnight at 4°C with a F(ab)_2 fragment of a polyclonal goat anti-human Fc fragment specific antibody (Jackson

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Immunoresearch, code 109-006-098) at 5 µg/ml in coating buffer (15 mM sodium carbonate, 35 mM sodium hydrogen carbonate, pH 6.9). Uncoated antibody was removed by washing 5 times with distilled water. Samples and purified standards to be quantitated were diluted to approximately 1 µg/ml in conjugate buffer (0.1 M Tris-HCl, pH 7.0, 0.1 M NaCl, 0.2% v/v Tween 20, 0.2% w/v Hammersten casein). The samples were titrated in the microtitre wells in 2-fold dilutions to give a final volume of 0.1 ml in each well and the plates incubated at room temperature for 1 hour with shaking. After the first incubation step the plates were washed 10 times with distilled water and then incubated for 1 hour as before with 0.1 ml of a mouse monoclonal anti-human kappa (clone GD12) peroxidase conjugated antibody (The Binding Site, code MP135) at a dilution of 1 in 700 in conjugate buffer. The plate was washed again and substrate solution (0.1 ml) added to each well. Substrate solution contained 150 µl N,N,N,N-tetramethylbenzidine (10 mg/ml in DMSO), 150 µl hydrogen peroxide (30% solution) in 10 ml 0.1 M sodium acetate/sodium citrate, pH 6.0. The plate was developed for 5-10 minutes until the absorbance at 630 nm was approximately 1.0 for the top standard. Absorbance at 630 nm was measured using a plate reader and the concentration of the sample determined by comparing the titration curves with those of the standard.

[167] c) Determination of Affinity constants by BiaCore analysis.

[168] The binding interaction between hTNF40 and human TNF was investigated using BIA technology. An affinity purified goat polyclonal antibody, directed against the constant region of hTNF40, was immobilised on the dextran polymer sensor chip surface using standard NHS/EDC chemistry. Relatively low levels (200-500 RU) of hTNF40 were captured to ensure mass transport effects were minimised. Human TNF at different concentrations was passed over the captured hTNF40 to allow assessment of the association kinetics. Following the injection of ligand, buffer was passed over the surface so that the dissociation could be measured. The association and dissociation rate constants for the interaction between solid phase hTNF40 and human TNF were calculated, and a K_D value was derived.

[169] **EXAMPLE 1**

[170] CDR-Grafting of hTNF40

[171] The molecular cloning of genes for the variable regions of the heavy and light chains of the hTNF40 antibody and their use to produce chimeric (mouse-human) hTNF40 antibodies has been described above. The nucleotide and amino acid sequences of the murine hTNF40 V_L and V_H are shown in Figures 6 and 7 (SEQ ID NOS:99 and 100), respectively. This example describes the CDR-grafting of the hTNF40 antibody.

[172] CDR-Grafting of hTNF40 Light Chain

10 [173] Alignment of the framework regions of hTNF40 light chain with those of the four human light chain subgroups (Kabat *et al.*, 1991, *supra*) revealed that hTNF40 was most homologous to antibodies in human light chain subgroup 1. Consequently, for constructing the CDR-grafted light chain, the framework regions chosen corresponded to those of the human group 1 consensus sequence.

15 [174] A comparison of the amino acid sequences of the framework regions of murine hTNF40 and the consensus human group 1 light chains is given in Figure 1 and shows that there are 22 differences (underlined) between the two sequences. Analysis of the contribution that any of these framework differences might have on antigen binding identified 2 residues for investigation; these are at positions 46 and 60. Based on this analysis, two versions of the CDR-grafted light chain were constructed. In the first of these, hTNF40-gL1 (SEQ ID NO:8), residues 46 and 60 are derived from the hTNF40 light chain while in the second, hTNF40-gL2 (SEQ ID NO:9), all residues are human consensus except residue number 60 which is from the hTNF40 light chain.

25 [175] **Construction of CDR-Grafted Light Chain hTNF40-gL1.**

[176] The construction of hTNF40-gL1 is given below in detail. The following overlapping oligonucleotides (P7982-P7986) were used in the Polymerase Chain Reactions (PCR) to assemble a truncated grafted light chain. The assembled fragment lacks the antibody leader sequence and the first 17 amino acids of framework 1.

[177] oligo 1 P7982:

[178] 5'

GAATTCAGGGTCACCATCACTTGTAAGCCAGTCAGAACGTAGGTACTAAC

[179] GTAGCCTGGTATCAGCAA3' (SEQ ID NO:52)

5

[180] oligo 2 P7983:

[181] 5'

ATAGAGGAAAGAGGCACTGTAGATGAGGGCTTTTGGGGCTTTACCTGGTTT

[182] TTGCTGATACCAGGCTACGT3' (SEQ ID NO:53)

10

[183] oligo 3 P7984:

[184] 5'

TACAGTGCCTCTTTCCTCTATAGTGGTGTACCATACAGGTTTCAGCGGATCCG

[185] GTAGTGGTACTGATTTAC3' (SEQ ID NO:54)

15

[186] oligo 4 P7985

[187] 5'GACAGTAATAAGTGGCGAAATCTTCTGGCTGGAGGCTACTGA

TCGTGAGGGTGAAATCAGTACCACTACCG3' (SEQ ID NO:55)

20 [188] oligo 5 P7986:

[189] 5'ATTCGCCACTTATTACTGTCAACAGTATAACATCTACCCACT
CACATTCGGTCAGGGTACTAAAGTAGAAATCAAACGTACGGAATTC3' (SEQ
ID NO:56)

25 [190] Fwd P7981:

[191] 5'GAATTCAGGGTCACCATCACTTGTAAGCC3' (SEQ ID NO:57)

[192]

[193] Bwd P7980

[194] 5'GAATTCCGTACGTTTGATTCTACTTTAGT3' (SEQ ID NO:58),

30

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[195] A PCR reaction, 100 µl, was set up containing, 10 mM Tris-HCl pH 8.3, 1.5 mM MgCl₂, 50 mM KCl, 0.01% w/v gelatin, 0.25 mM each deoxyribonucleoside triphosphate, 2 pmoles of P7982, P7983, P7984, P7985, P7986, 10 pmoles of P7980, P7981 and 1 unit of Taq polymerase. Reactions were cycled through 94°C for 1 minute, 5 55°C for 1 minute and 72°C for 1 minute. After 30 cycles, each reaction was analysed by electrophoresis on an agarose gel and the PCR fragment excised from the gel and recovered using a Mermaid Kit. The recovered fragment was restricted with the enzymes BstEII and SphI in the appropriate buffer. The resulting product was finally electrophoresed on an agarose gel and the 270 base pair DNA fragment recovered from a 10 gel slice and ligated into vector CTIL5-gL6 (Figure 12), that had previously been digested with the same enzymes. The above vector provides the missing antibody leader sequence and the first 17 amino acids of framework 1.

[196] The ligation mixture was used to transform E. coli strain LM1035 and resulting colonies analysed by PCR, restriction enzyme digests and nucleotide 15 sequencing. The nucleotide and amino acid sequence of the VI region of hTNF40-gL1 is shown in Figure 8 (SEQ ID NO:8).

[197] **Construction of CDR-Grafted Light Chain hTNF40-gL2.**

[198] hTNF40-gL2 (SEQ ID NO:9) was constructed using PCR. The following 20 oligonucleotides were used to introduce the amino acid changes:

[199] R1053: 5'GCTGACAGACTAACAGACTGTTCC3' (SEQ ID NO:59)

25 [200] R5350:
5'TCTAGATGGCACACCATCTGCTAAGTTTGATGCAGCATAGAT
[201] CAGGAGCTTAGGAGC3' (SEQ ID NO:60)

[202] R5349:
30 5'GCAGATGGTGTGCCATCTAGATTCAGTGGCAGTGGATCA
[203] GGCACAGACTTTACCCTAAC3' (SEQ ID NO:61)

05875221-060601

[204] R684: 5'TTCAACTGCTCATCAGAT3' (SEQ ID NO:62)

[205] Two reactions, each 20 µl, were set up each containing 10 mM Tris-HCl
5 pH 8.3, 1.5 mM MgCl₂, 50 mM KCl, 0.01% w/v gelatin, 0.25 mM each
deoxyribonucleoside triphosphate, 0.1 µg hTNF40-gL1, 6 pmoles of R1053/R5350 or
R5349/R684 and 0.25 units Taq polymerase. Reactions were cycled through 94°C for 1
minute, 55°C for 1 minute and 72°C for 1 minute. After 30 cycles, each reaction was
analysed by electrophoresis on an agarose gel and the PCR fragments excised from the
10 gel and recovered using a Mermaid Kit.

[206] Aliquots of these were then subjected to a second round of PCR. The
reaction, 100 µl, contained 10 mM Tris-HCl pH 8.3, 1.5 mM MgCl₂, 50 mM KCl,
0.01% w/v gelatin, 1/5 of each of the PCR fragments from the first set of reactions, 30
pmoles of R1053 and R684 and 2.5 units Taq polymerase. Reaction temperatures were
15 as above. After the PCR, the mixture was extracted with phenol/chloroform and then
with chloroform and precipitated with ethanol. The ethanol precipitate was recovered by
centrifugation, dissolved in the appropriate buffer and restricted with the enzymes BstEII
and SphI. The resulting product was finally electrophoresed on an agarose gel and the
270 base pair DNA fragment recovered from a gel slice and ligated into the vector
20 pMR15.1 (Figure 4) that had previously been digested with the same enzymes.

[207] The ligation mixture was used to transform E. coli LM1035 and resulting
colonies analysed by PCR, restriction enzyme digests and nucleotide sequencing. The
nucleotide and amino acid sequence of the VI region of hTNF40-gL2 is shown in Figure
9 (SEQ ID NO:9).

25

[208] CDR-Grafting of hTNF40 Heavy Chain

[209] CDR-grafting of hTNF40 heavy chain was accomplished using the same
strategy as described for the light chain. hTNF40 heavy chain was found to be most
homologous to human heavy chains belonging to subgroup 1 and therefore the consensus
30 sequence of the human subgroup 1 frameworks was chosen to accept the hTNF40 heavy
chain CDRs.

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[210] To investigate the requirement of a homologous human framework to act as an acceptor framework for CDR grafting, a second framework, human group 3, was selected to humanise hTNF40 heavy chain.

[211] A comparison of hTNF40 with the two different frameworks region is shown in Figure 2 where it can be seen that hTNF40 differs from the human subgroup 1 consensus at 32 positions (underlined) (Fig. 2) and differs from the human subgroup 3 consensus at 40 positions (underlined) (Fig. 2). After analysis of the contribution that any of these might make to antigen binding, residues 28, 38, 46, 67, 69 and 71 were retained as donor in the CDR-grafted heavy chain gh1hTNF40.1, using the group 1 framework. Residues 27, 28, 30, 48, 49, 69, 71, 73, 76 and 78 were retained as donor in the CDR-grafted heavy chain, gh3hTNF40.4 using the group 3 framework. Residues 28, 69 and 71 were retained as donor in the CDR-grafted heavy chain, gh1hTNF40.4 using the group 1 framework.

15 [212] Construction of CDR-Grafted Heavy Chain gh1hTNF40.4

[213] gh1hTNF40.4 (SEQ ID NO:10) was assembled by subjecting overlapping oligonucleotides to PCR in the presence of the appropriate primers. The following oligonucleotides were used in the PCR:

20 [214] Group 1 graft

[215] oligo 1 P7989:

[216] 5'GAAGCACCAGGCTTCTTAACCTCTGCTCCTGACTGGACCAGC
TGCACCTGAGAGTGCACGAATTC3' (SEQ ID NO:63)

25

[217] oligo 2 P7990:

[218] 5'GGTTAAGAAGCCTGGTGCTTCCGTCAAAGTTTCGTGTAAGGC
CTCAGGCTACGTGTTACAGACTATGGTA3' (SEQ ID NO:64)

09875221.060601

[219] oligo 3 P7991:

[220] 5'CCAACCCATCCATTTCAGGCCTTGTCCCGGGGCCTGCTTGACC
CAATTCATACCATAGTCTGTGAACACGT3' (SEQ ID NO:65)

5 [221] oligo 4 P7995:

[222] 5'GGCCTGAAATGGATGGGTTGGATTAATACTTACATTGGAGAG
CCTATTTATGTTGACGACTTCAAGGGCAGATTCACGTTC3' (SEQ ID NO:66)

[223] oligo 5 P7992:

10 [224] 5'CCATGTATGCAGTGC GTTGTGGAGGTGTCTAGAGTGAACGTG
AATCTGCCCTTGAA3' (SEQ ID NO:67)

[225] oligo 6 P7993:

[226] 5'CCACAAGCACTGCATACATGGAGCTGTCATCTCTGAGATCCG
15 AGGACACCGCAGTGTACTAT3' (SEQ ID NO:68)

[227] oligo 7 P7994:

[228] 5'GAATTCGGTACCCTGGCCCCAGTAGTCCATGGCATAAGATCT
GTATCCTCTAGCACAATAGTACACTGCGGTGTCCTC3' (SEQ ID NO:69)

20

[229] Fwd: P7988:

[230] 5'GAATTCGTGCACTCTCAGGTGCAGCTGGTC3' (SEQ ID NO:70)

[231] Bwd P7987:

25 [232] 5'GAATTCGGTACCCTGGCCCCAGTAGTCCAT3' (SEQ ID NO:71)

[233] The assembly reaction, 100 μ l, contained 10 mM Tris-HCl pH 8.3, 1.5 mM $MgCl_2$, 50 mM KCl, 0.01% w/v gelatin, 0.25 mM each deoxyribonucleoside triphosphate, 2 pmole of each of p7989, p7990, p7991, p7995, p7992, p7993 and p7994, 30 10 pmoles of each of p7988 and p7987 and 1 unit Taq polymerase. Reactions were cycled through 94°C for 1 minute, 55°C for 1 minute and 72°C for 1 minute. After 30

09875221-060601

cycles, the reaction was extracted with phenol/chloroform (1/1), then with chloroform and precipitated with ethanol. After centrifugation, the DNA was dissolved in the appropriate restriction buffer and digested with ApaI and KpnI. The resulting fragment was isolated from an agarose gel and ligated into pMR14 (Figure 5) that had previously
 5 been digested with the same enzymes. pMR14 contains the human gamma 4 heavy chain constant region when pMR14 is cleaved with ApaI and KpnI, the cleaved vector is able to receive the digested DNA such that the 3' end of the digested DNA joins in reading frame to the 5' end of the sequence encoding the gamma 4 constant region. Therefore, the heavy chain expressed from this vector will be a gamma 4 isotype. The ligation
 10 mixture was used to transform *E. coli* LM1035 and resulting bacterial colonies screened by restriction digest and nucleotide sequence analysis. In this way, a plasmid was identified containing the correct sequence for gh1hTNF40.4 (Figure 10) (SEQ ID NO:10).

15 [234] Construction of CDR-Grafted Heavy Chain gh3hTNF40.4
 [235] gh3hTNF40.4 (SEQ ID NO:11) was assembled by subjecting overlapping oligonucleotides to PCR in the presence of the appropriate primers. The following oligonucleotides were used in the PCR:

20 [236] Group 3 graft

[237] oligo 1 P7999:

[238] 5'GATCCGCCAGGCTGCACGAGACCGCCTCCTGACTCGACCAGC
 TGAACCTCAGAGTGCACGAATTC3' (SEQ ID NO:72)

25

[239] oligo 2 P8000:

[240] 5'TCTCGTGCAGCCTGGCGGATCGCTGAGATTGTCCTGTGCTGC
 ATCTGGTTACGTCTTCACAGACTATGGAA3' (SEQ ID NO:73)

09875221-050601

[241] oligo 3 P8001

[242] 5'CCAACCCATCCATTTCAGGCCCTTTCCCGGGGCCTGCTTAACC
CAATTCATTCCATAGTCTGTGAAGACGT3' (SEQ ID NO:74)

5 [243] oligo 4 P7995:

[244] 5'GGCCTGAAATGGATGGGTGGATTAATACTTACATTGGAGAG
CCTATTTATGTTGACGACTTCAAGGGCAGATTCACGTTC3' (SEQ ID NO:66)

[245] oligo 5 P7997:

10 [246] 5'GGAGGTATGCTGTTGACTTGGATGTGTCTAGAGAGAACGTGA
ATCTGCCCTTGAA3' (SEQ ID NO:75)

[247] oligo 6 P7998:

[248] 5'CCAAGTCAACAGCATACCTCCAAATGAATAGCCTGAGAGCA
15 GAGGACACCGCAGTGTACTAT3' (SEQ ID NO:76)

[249] oligo 7 P7993:

[250] 5'GAATTCGGTACCCTGGCCCCAGTAGTCCATGGCATAAGATCT
GTATCCTCTAGCACAATAGTACACTGCGGTGTCCTC3' (SEQ ID NO:77)

20

[251] Fwd P7996:

[252] 5'GAATTCGTGCACTCTGAGGTTTCAGCTGGTTC3' (SEQ ID NO:78)

[253] Bwd P7987:

25 [254] 5'GAATTCGGTACCCTGGCCCCAGTAGTCCAT3' (SEQ ID NO:71)

[255] The assembly reaction, 100 µl, contained 10 mM Tris-HCl pH 8.3, 1.5 mM MgCl₂, 50 mM KCl, 0.01% w/v gelatin, 0.25 mM each deoxyribonucleoside triphosphate, 2 pmole of each of p7999, p8000, p8001, p7995, p7997, p7998 and p7993, 30 10 pmoles of each of p7996 and p7987 and 1 unit Taq polymerase. Reactions were cycled through 94°C for 1 minute, 55°C for 1 minute and 72°C for 1 minute. After 30

cycles, the reaction was extracted with phenol/chloroform (1/1), then with chloroform and precipitated with ethanol. After centrifugation, the DNA was dissolved in the appropriate restriction buffer and digested with ApaLI and KpnI. The resulting fragment was isolated from an agarose gel and ligated into pMR14 (Figure 5) that had previously
5 been digested with the same enzymes. pMR14 contained the human gamma 4 heavy chain constant region. When pMR14 is cleaved with ApaLI and KpnI, the cleaved vector is able to receive the digested DNA such that the 3' end of the digested DNA joins in reading frame to the 5' end of the sequence encoding the gamma 4 constant region. Therefore, the heavy chain expressed from this vector will be a gamma 4 isotype. The
10 ligation mixture was used to transform *E. coli* LM1035 and resulting bacterial colonies screened by restriction digestion and nucleotide sequence analysis. In this way, a plasmid was identified containing the correct sequence for gh3hTNF40.4 (SEQ ID NO:11) (Figure 11).

15 [256] **Production of CDR-Grafted Modified Fab Fragment.**

[257] A CDR-grafted, modified Fab fragment, based on antibody hTNF40, was constructed using the *E. coli* vector pTTO-1. The variable regions of antibody hTNF40 are sub-cloned into this vector and the intergenic sequence optimised to create pTTO(CDP870). The pTTO expression vector is designed to give rise to soluble,
20 periplasmic accumulation of recombinant proteins in *E. coli*. The main features of this plasmid are:

- [258] (i) tetracycline resistance marker - antibiotic not inactivated by the product of resistance gene, hence selection for plasmid-containing cells is maintained;
[259] (ii) low copy number - origin of replication derived from plasmid
25 p15A, which is compatible with plasmids containing colE1 derived replicons;
[260] (iii) strong, inducible tac promoter for transcription of cloned gene(s);
[261] (iv) lacI^q gene - gives constitutive expression of the lac repressor protein, maintaining the tac promoter in the repressed state until induction with IPTG / allolactose;
30 [262] (v) OmpA signal sequence - gives periplasmic secretion of cloned gene(s); and

09675221-060601

[263] (vi) translational coupling of OmpA signal sequence to a short lacZ peptide, giving efficient initiation of translation.

[264] The vector has been developed for expression of modified Fab fragments from a dicistronic message by the design of a method to select empirically the optimum
5 intergenic sequence from a series of four purpose-built cassettes. The application of this in the construction of pTTO(CDP870) is described.

[265] Materials and Methods

10 [266] DNA techniques

[267] Standard procedures were used for protocols including DNA restriction, agarose gel electrophoresis, ligation and transformation. Restriction enzymes and DNA modifying enzymes were obtained from New England Biolabs or Boehringer Mannheim, and were used according to the supplier's recommendations. DNA fragments were
15 purified from agarose using the GeneClean protocol (BIO 101). Oligonucleotides were supplied by Oswel Oligonucleotide Service and were synthesized at the 40 nm scale. Plasmid DNA was isolated using Plasmid DNA Mini / Midi kits from Qiagen. PCR was performed using Perkin Elmer 'Amplitaq' as recommended. DNA sequencing was performed using the Applied Biosystems Taq cycle sequencing kit.

20

[268] Shake flask induction

[269] *E. coli* W3110 cultures were grown in L-broth supplemented with tetracycline (7.5 µg/ml). For inductions, fresh overnight cultures (grown at 30°C) were diluted to OD₆₀₀ of 0.1 into 200 ml L-broth in a 2 L baffled flask and were grown at
25 30°C in an orbital incubator. At OD₆₀₀ of 0.5, IPTG was added to 200 µM. Samples (normalised for OD) were taken at intervals.

[270] Periplasmic Extraction

[271] Culture samples were chilled on ice (5 minutes) then cells were harvested
30 by centrifugation. Following resuspension in extraction buffer (100 mM Tris-HCl, 10

09875221-060601

mM EDTA, pH 7.4) samples were incubated overnight at 30°C, then clarified by centrifugation.

[272] Assembly Assay

- 5 [273] Modified Fab concentrations were determined by ELISA. Plates were coated at 4°C overnight with anti-human Fd 6045 (2 µg/ml in coating buffer, physiological saline, 100 µl per well). After washing, 100 µl of sample was loaded per well; purified A5B7 gamma-1 Fab', initially at 2 µg/ml, was used as a standard. Samples were serially diluted 2-fold across the plate in sample conjugate buffer (per
10 litre: 6.05 g trisaminomethane; 2.92 g NaCl; 0.1 ml Tween-20; 1 ml casein (0.2%)); plates were incubated for 1 hour at room temperature, with agitation. Plates were washed and dried, then 100 µl of anti-human C-kappa (GD12)-peroxidase was added (diluted in sample conjugate buffer). Incubation was carried out at room temperature for 1 hour with agitation. Plates were washed and dried, then 100 µl of substrate solution
15 was added (10 ml sodium acetate/citrate solution (0.1 M pH 6); 100 µl H₂O₂ solution; 100 µl tetramethylbenzidine solution (10 mg/ml in dimethylsulphoxide)). Absorbance at 630 nm was read 4 - 6 minutes after substrate addition.

[274] Construction of Plasmid pTTO-1

20

[275] (a) Replacement of the pTTQ9 Polylinker

- [276] Plasmid pTTQ9 was obtained from Amersham and is shown in Figure 14. An aliquot (2 µg) was digested with restriction enzymes Sall and EcoRI, the digest was run on a 1% agarose gel and the large DNA fragment (4520 bp) was purified. Two
25 oligonucleotides were synthesized which, when annealed together, encode the OmpA polylinker region shown in Figure 15. This sequence has cohesive ends which are compatible with the Sall and EcoRI ends generated by restriction of pTTQ9. By cloning this oligonucleotide 'cassette' into the pTTQ9 vector, the Sall site is not regenerated, but the EcoRI site is maintained. The cassette encodes the first 13 amino acids of the signal
30 sequence of the *E. coli* outer-membrane protein Omp-A, preceded by the Shine Dalgarno ribosome binding site of the OmpA gene. In addition restriction sites for enzymes XbaI,

09875221.060601

MunI, StyI and SplI are present. The MunI and StyI sites are within the coding region of the OmpA signal sequence and are intended as the 5' cloning sites for insertion of genes. The two oligonucleotides which make up this cassette were annealed together by mixing at a concentration of 5 pmoles/ μ l and heating in a waterbath to 95°C for 3 minutes, then
5 slow cooling to room temperature. The annealed sequence was then ligated into the Sall / EcoRI cut pTTQ9. The resulting plasmid intermediate, termed pTQOmp, was verified by DNA sequencing.

[277] (b) Fragment Preparation and Ligation

10 [278] Plasmid pTTO-1 was constructed by ligating one DNA fragment from plasmid pACYC184 to two fragments generated from pTQOmp. Plasmid pACYC184 was obtained from New England Biolabs, and a restriction map is shown in Figure 16. An aliquot (2 μ g) was digested to completion with restriction enzyme StyI, then treated with Mung Bean Nuclease; this treatment creates blunt ends by cutting back 5' base
15 overhangs. Following phenol extraction and ethanol precipitation, the DNA was restricted with enzyme PvuII, generating fragments of 2348, 1081, 412 and 403 bp. The 2348 bp fragment was purified after agarose gel electrophoresis. This fragment encodes the tetracycline resistance marker and the p15A origin of replication. The fragment was then treated with calf intestinal alkaline phosphatase to remove 5' terminal phosphates,
20 thereby preventing the self-ligation of this molecule.

[279] An aliquot (2 μ g) of plasmid pTQOmp was digested with enzymes SspI and EcoRI, and the 2350 bp fragment was purified from unwanted fragments of 2040 bp and 170 bp following agarose gel electrophoresis; this fragment encodes the transcriptional terminator region and the lacI^q gene. Another aliquot (2 μ g) of pTQOmp
25 was digested with EcoRI and XmnI, generating fragments of 2289, 1670, 350 and 250 bp. The 350 bp fragment, encoding the tac promoter, OmpA signal sequence and multicloning site, was gel purified.

[280] The three fragments were then ligated, using approximately equimolar amounts of each fragment, to generate the plasmid pTTO-1. All cloning junctions were
30 verified by DNA sequencing. The restriction map of this plasmid is shown in Figure 17. Plasmid pTTO-2 was then created by insertion of DNA encoding the human Ig light

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chain kappa constant domain. This was obtained as a SphI – EcoRI restriction fragment from plasmid pHCl32, and inserted into the corresponding sites in pTTO-1. Plasmid pTTO-2 is shown in Figure 18.

5 [281] Insertion of humanized hTNF40 variable regions into pTTO-2

[282] The variable light chain region hTNF40gL1 (SEQ ID NO:8) was obtained by PCR 'rescue' from the corresponding vector for mammalian cell expression pMR10.1. The OmpA leader sequence replaces the native Ig leader. The sequence of the PCR primers is shown below:

10

[283] 5' primer:

[284] CGCGCGGCAATTGCAGTGGCCTTGGCTGGTTTCGCTACCGTAG
CGCAAGCTGACATTCAAATGACCCAGAGCCC (SEQ ID NO:79)

15 [285] 3' primer: TTCAACTGCTCATCAGATGG (SEQ ID NO:80)

[286] Following PCR under standard conditions, the product was purified, digested with enzymes MunI and SphI then gel purified. The purified fragment was then inserted into the MunI / SphI sites of pTTO-2 to create the light chain intermediate pTTO(hTNF40L).

[287] The variable heavy chain region of gh3hTNF40.4 was obtained in the same way from the vector pGamma-4. The sequence of the PCR primers is shown below:

25 [288] 5' primer:

[289] GCTATCGCAATTGCAGTGGCGCTAGCTGGTTTCGCCACCGTGG
CGCAAGCTGAGGTTCAGCTGGTCGAGTCAGGAGGC (SEQ ID NO:81)

[290] 3' primer: GCCTGAGTTCCACGACAC (SEQ ID NO:82)

30

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[291] Following PCR the product was purified, digested with enzymes NheI and
ApaI then sub-cloned into the vector pDNAEng-G1 (Figure 19). After verification by
DNA sequencing, the heavy chain was restricted with enzyme EcoRI and sub-cloned into
the EcoRI site of pTTO(hTNF40L) to create the *E. coli* expression plasmid
5 pTTO(hTNF40).

[292] Optimisation of Intergenic Sequence for Modified Fab Expression

[293] In the pTTO vector, modified Fab expression occurs from a dicistronic
message encoding first light chain then heavy chain. The DNA sequence between the
10 two genes (intergenic sequence, IGS) can influence the level of expression of the heavy
chain by affecting the rate of translational initiation. For example, a short intergenic
sequence may result in translational coupling between the light and heavy chains, in that
the translating ribosome may not fully dissociate from the mRNA after completing light
chain synthesis before initiating heavy chain synthesis. The 'strength' of any Shine
15 Dalgarno (SD) ribosome binding site (homology to 16S rRNA) can also have an effect,
as can the distance and sequence composition between the SD and the ATG start codon.
The potential secondary structure of mRNA around the ATG is another important factor;
the ATG should be in a 'loop' and not constrained within a 'stem', while the reverse
applies to the SD. Thus by modifying the composition and length of the IGS it is
20 possible to modify the strength of translational initiation and therefore the level of heavy
chain production. It is likely that an optimum rate of translational initiation needs to be
achieved to maximise expression of the heavy chain of a given modified Fab. For
example, with one modified Fab, a high level of expression may be tolerated, but for a
different modified Fab with different amino acid sequence, a high level of expression
25 might prove toxic, perhaps because of different efficiencies of secretion or folding. For
this reason, a series of four intergenic sequences were designed (Figure 20), permitting
the empirical determination of the optimum IGS for the hTNF40-based modified Fab.
IGS1 and IGS2 have very short intergenic sequences (-1 and +1 respectively) and might
be expected to give closely coupled translation; the SD sequences (underlined) are subtly
30 different. These two sequences will most likely confer a high level of translational
initiation. IGS3 and IGS4 have a longer distance between start and stop codons (+13)

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and differ in their sequence composition; IGS3 has a 'stronger' SD sequence. All sequences were studied for secondary structure (using m/fold program) and 'optimised' as far as possible; however, with tight coupling of translation of the two chains the lack of ribosomal dissociation means that the mRNA may not be 'naked', preventing
5 secondary structure formation.

[294] Cloning of IGS variants

[295] The IGS cassettes shown in Figure 20 have flanking SacI and MunI cloning sites. They were built by annealing complementary oligonucleotide pairs. A
10 vector fragment was prepared by digesting pTTO(hTNF40) with SacI and NotI, and a heavy chain fragment was prepared by digesting pDNAEngG1(hTNF40H) with MunI and NotI. Three-way ligations were then performed, using equimolar amounts of the two restriction fragments and approximately 0.05 pmoles of each annealed oligo cassette. This created the four expression plasmids pTTO(hTNF40 IGS-1), pTTO(hTNF40 IGS-
15 2), pTTO(hTNF40 IGS-3), pTTO(hTNF40 IGS-4).

[296] Shake flask expression analysis

[297] The four plasmids were transformed into *E. coli* strain W3110, along with the original expression construct, and then analysed for expression in shake flasks as
20 described. The results of a typical experiment are shown in Figure 21. The different intergenic sequences confer different expression profiles. IGS1 and IGS2 accumulate periplasmic modified Fab rapidly with a peak at 1 hour post induction, after which the level recovered falls. The peak is greater and the fall sharper for IGS1. These results are consistent with a high level of synthesis, as expected for close translational coupling for
25 these constructs. IGS1 apparently confers a higher level of heavy chain expression than does IGS2. In this instance, it appears that this high level of expression is poorly tolerated, since periplasmic expression levels fall after the 1 hour peak. This is seen on the growth profile of the IGS1 culture (not shown), which peaks at 1 hour post induction before falling, suggesting cell death and lysis. IGS3 accumulates modified Fab more
30 slowly but peaks at 2 hours post induction with a higher peak value (325 ng/ml/OD), before levels fall. The growth of this culture continued to 3 hours post induction and

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reached a higher peak biomass (not shown). This is consistent with a lower level of heavy chain synthesis. IGS4 accumulates material at a slower rate still and fails to reach the high peak of productivity of the other 3 constructs. All IGS variants out-perform the original vector significantly. The hypothesis that the different IGS sequences confer different rates of translational initiation is supported by these experimental results. For the hTNF40-based modified Fab it appears that a high rate of heavy chain translational initiation is poorly tolerated and is therefore not optimal. A slower rate, as conferred by IGS3, results in better growth characteristics and consequently a better yield accumulates over time.

10 [298] Following comparison of productivity in the fermenter the IGS3 construct was selected as the highest yielding and was termed pTTO(CDP870) – see Figure 22.

[299] The heavy chain encoded by the plasmid pTTO(CDP870) has the sequence given in SEQ ID NO:115 and the light chain has the sequence given in SEQ ID NO:113.

15

[300] **PEGylation of CDR-Grafted, hTNF40-based Modified Fab.**

[301] The purified modified Fab is site-specifically conjugated with a branched molecule of PEG. This is achieved by activation of a single cysteine residue in a truncated hinge region of the modified Fab, followed by reaction with (PEG)-lysyl maleimide as previously described (A.P. Chapman *et al.*, Nature Biotechnology 17, 780-783, 1999). The PEGylated molecule is shown in Figure 13 and is called compound CDP870.

[302] **Efficacy of PEGylated CDR-Grafted, hTNF40-based Modified Fab**
25 **(CDP870) in Treating Rheumatoid Arthritis.**

[303] CDP870 has a long half life of approximately 11 days.

[304] We evaluated the safety and efficacy of intravenous CDP870 in a randomised double-blind placebo-controlled dose escalating trial in patients with RA.

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[305] Methods

[306] *Patients:*

[307] Patients aged between 18 and 75 years old and who satisfied the 1987 revised American College of Rheumatology (ACR) diagnostic criteria for rheumatoid arthritis (RA) (Arnett *et al.*, Arthritis Rheum., 31, 315-324, 1988) were recruited from outpatient Rheumatology clinics at London, Cambridge, Norfolk and Norwich (United Kingdom). Patients were required to have clinically active disease as defined by having at least 3 of the following criteria: ≥ 6 painful or tender joints; ≥ 45 minutes of early morning stiffness; and erythrocyte sedimentation rate (ESR) ≥ 28 mm/hr. They must have failed to respond to at least one Disease Modifying Anti-Rheumatic Drug (DRARD) and have been off treatment for at least 4 weeks. Corticosteroids were permitted if the dose was ≥ 7.5 mg/day of prednisolone. Pregnant women, nursing women and women of childbearing potential not using an effective method of contraception were excluded. Patients were also excluded if they had a previous history of malignancy, concomitant severe uncontrolled medical conditions, previous failure of TNF α -neutralizing therapy or allergy to polyethylene glycol. Written informed consent was obtained from each patient before enrolment. The study was approved by the local research ethics committees.

[308] *Treatment protocol:*

[309] 36 RA patients were divided into 3 groups, each to receive an increasing dose of the trial drug (1, 5 or 20mg/kg). Each group of 12 was randomly divided into 8 to receive CDP870 and 4 to receive placebo. CDP870 was given as a single intravenous infusion (100 ml in total) over 60 minutes. Placebo (sodium acetate buffer) was given similarly as a single intravenous infusion of 100 ml over 60 minutes. Treatment was given on an outpatient basis. After 8 weeks, all patients had the opportunity to receive an infusion of either 5 or 20 mg/kg of CDP870 in open fashion.

[310] *Clinical assessment:*

[311] RA disease activity was assessed based on the World Health Organization and International League of Associations for Rheumatology (Boers *et al.*, J. Rheumatol –

09875221.060601

Supplement, 41, 86-89, 1994) and European League Against Rheumatism (EULAR) (Scott *et al.*, Clin. Exp. Rheumatol., 10, 521-525, 1992) core data sets with 28 joint counts. Changes in disease activity were assessed by Disease Activity Score (Prevoo *et al.*, Arthritis Rheum., 38, 44-48, 1995) and the ACR responses criteria (Felson *et al.*,
5 Arthritis Rheum., 38, 727-735, 1995). Assessments were carried out before treatment and at 1, 2, 4, 6 and 8 weeks after therapy. Patients were also assessed for safety and tolerance of the study drug. Haematology, biochemistry, anti-CDP870 antibodies and adverse events were assessed at each visit.

10 [312] *CDP870 plasma concentration and anti-CDP870 antibodies:*

[313] CDP870 was measured by enzyme-linked immunosorbent assay (ELISA). Serial dilutions of patients' plasma were incubated in microtitre plates (Nunc) coated with recombinant human TNF α (Strathmann Biotech GmbH, Hannover). Captured CDP870 was revealed with horseradish peroxidase conjugated goat anti-human kappa
15 light chain (Cappel, ICN) followed by tetramethylbenzidine (TMB) substrate.

[314] Antibodies to CDP870 was screened (at 1/10 plasma dilution) using a double antigen sandwich ELISA with biotinylated CDP870 as the second layer. Bound antibodies were revealed using HRP-streptavidin and TMB substrate. The assay was calibrated using a hyperimmune rabbit IgG standard. A unit of activity is equivalent to 1
20 μ g of the rabbit standard.

[315] *Statistical Analysis*

[316] The study was exploratory in nature and the sample size was based on previous experience with similar agents. Efficacy of CDP870 was analysed by
25 calculating disease activity score (DAS) and ACR20/50 responses for intention to treat and per-protocol using a closed testing procedure. The disease activity score was calculated as follows: $DAS = 0.555 \times \text{square root of (28 tender joints)} + 0.284 \times \text{square root of (28 swollen joints)} + 0.7 \times \ln(\text{ESR}) + 0.0142 \times (\text{patient's global assessment})$. First, the pooled active groups were compared to placebo. If this comparison was
30 significant at the 5% level, each dosage group was compared to placebo. All

09875221.060601

comparisons were two tailed with a significance level of 5%. All P-values were derived from exploratory analysis and should not be used for inferential interpretation.

[317] Results

5 [318] *Demography:*

[319] 36 patients with RA were recruited. Their demographic details are given in Table 6. The mean age was 56 years and 30 patients were female. The mean duration of RA was 13 years and 21 patients were rheumatoid factor positive. Patients in the different groups have similar demographic characteristics. In the blinded dosing period,
10 6/12 placebo-treated patients withdrew from the study for deteriorating RA ≥ 4 , weeks after dosing. 2/24 CDP870-treated patients withdrew, both in the 1 mg/kg group, for deteriorating RA/lost to follow up > 4 weeks after dosing. The difference was statistically significant ($p=0.009$, Fisher exact test).

15 **Table 6: Demographic details (mean \pm standard deviation)**

| | Number | Sex (M:F) | Age | Duration of Disease | Rheuma- toid Factor | Number of previous DMARDs |
|-----------------|--------|--------------|-------------|---------------------------|---------------------------|------------------------------------|
| Placebo | 12 | 1:11 | 51 \pm 8 | 12 \pm 8 | 8(67%) | 5 \pm 1 |
| 1 mg/kg | 8 | 1:7 | 59 \pm 7 | 12 \pm 7 | 4(50%) | 4 \pm 1 |
| 5m g/kg | 8 | 2:6 | 54 \pm 13 | 13 \pm 5 | 5(63%) | 5 \pm 2 |
| 20 mg/kg | 8 | 2:6 | 61 \pm 11 | 14 \pm 13 | 4(50%) | 4 \pm 2 |

[320] *Clinical Efficacy:*

[321] The proportion of patients with ACR20 improvement for the per-protocol
20 population with last observation carried forward was 16.7, 50, 87.5 and 62.5% after placebo, 1, 5 and 20 mg/kg CDP870 (combined treatment effect $p=0.012$) at 4 weeks and 16.7, 25, 75 and 75% ($p=0.032$) at 8 weeks. Reduction in DAS scores (median) for the per-protocol population with last observation carried forward was 0.15, 1.14, 1.91 and

09875221.060601

1.95 after placebo, 1, 5 and 20 mg/kg CDP870 (combined treatment effect $p=0.001$) at 4 weeks and 0.31, 0.09, 2.09 and 1.76 ($p=0.008$) at 8 weeks (Figure 23). Changes in individual components of the World Health Organization and International League of Associations for Rheumatology core data set are shown in Figure 24.

- 5 [322] Following the open label dose of CDP870, similar beneficial effects were achieved. Of the 36 patients recruited into the study, 32 received a second infusion of CDP870. The proportion of patients with ACR20 improvement from pre-first infusion was 72.2 and 55.6% after 5 and 20 mg/kg CDP870 at 4 weeks and 55.6 and 66.7% at 8 weeks.

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[323] *Adverse Events*

- [324] Treatment was well tolerated with no infusion-related reaction. No allergic reaction or skin rash was reported. In the double-blind phase, there were 19, 38, 8 and 14 adverse events in the placebo, 1, 5 and 20 mg/kg groups respectively. The
15 commonest was headache with 9 episodes in 5 patients (1 placebo, 3 at 1 mg/kg, 1 at 20 mg/kg). One patient who received placebo and 3 patients who received CDP870 (1 at 5 mg/kg and 2 at 20 mg/kg) developed lower respiratory tract infections. These were reported as mild or moderate. They were treated with oral antibiotics and resolved over 1-2 week period. Three patients each in the 1 and 5 mg/kg groups and one in the 20
20 mg/kg group developed a urinary tract infection 1-2 months after CDP870 treatment. One adverse event was described as severe which was an episode of neck pain occurring 3 days after infusion with 1 mg/kg. Increase in anti-nuclear antibody was seen in 4 patients: 1 in the placebo group (negative to 1/40), 2 in the 1 mg/kg group (negative to 1/40, negative to 1/80) and 1 in the 20 mg/kg group (negative to 1/40). No change was
25 found in anti-DNA or anti-cardiolipin antibodies.

[325] *CDP870 Plasma Concentration and Anti-CDP870 levels*

- [326] As expected, for all dose levels of CDP870, the peak plasma concentration occurred at the end of infusion and was dose proportional with plasma
30 concentration declining slowly thereafter. The plasma concentration profile of CDP870 appeared very similar to that previously observed in volunteers where the half-life was

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calculated to be approximately 14 days. On re-dosing, a similar profile to single dose infusion was observed.

[327] Following a single intravenous infusion, anti-CDP870 levels were low or undetectable.

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[328] Discussion

[329] Neutralizing TNF α is an effective treatment strategy in RA. Currently, this requires the use of biological agents, such as a chimeric mAb or a soluble receptor/human Fc fusion protein, which are expensive to manufacture. A therapeutic

10 TNF α neutralizing agent needs to bind TNF α with high affinity and have a long plasma half-life, low antigenicity and high tolerability and safety. It also needs to be accessible to all patients with RA who would benefit from TNF α blockade. One technology that could achieve these objectives is the conjugation with polyethylene glycol of a TNF α binding antibody fragment made in *E. coli*. In this preliminary study, we find that
15 CDP870, a PEGylated, anti-TNF α , modified Fab, is effective and well tolerated by patients with RA.

[330] *In vitro* studies have shown that CDP870 has similar TNF α neutralizing activity to the murine anti-TNF α parent antibody. This study confirms that CDP870 reduced inflammation and improved symptoms in RA. Clinical improvement as
20 measured by the ACR20 response criteria in the 5 and 20 mg/kg groups (75%, 75%) was comparable to etanercept (60%) (Moreland *et al.*, *Annals Int. Med.*, 130, 478-486, 1999) and infliximab (50%) (Maini *et al.*, *Lancet*, 354, 1932-1939, 1999). At the middle and highest dosage levels tested, the therapeutic effect lasted 8 weeks which is comparable to previous other mAbs (Elliott *et al.*, *Lancet*, 344, 1105-1110, 1994 and Rankin *et al.*, *Br.*
25 *J. Rheumatol.*, 34, 334-342, 1995). Previous study has shown that the therapeutic effect of anti-TNF α antibody is related to its plasma half-life and the generation of circulating antibodies (Maini *et al.*, *Arthritis Rheum.* 38, (Supplement) : S186 1995 (Abstract)). Our study showed that CDP870 has a plasma half-life of 14 days which is equivalent to that of a whole antibody (Rankin *et al.*, (*supra*)) and much longer than the half-life of
30 unconjugated Fab' fragments. Further, CDP870 generated only very low levels of antibody response.

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[331] One of the important objectives of this study is to examine the tolerability and safety of administering this PEGylated Fab'. In our study, CDP870 appears well tolerated. Although further study will be needed to assess long-term toxicity, especially the risk of demyelinating disease, infection and skin rashes that have been reported with etanercept and infliximab.

[332] In summary, CDP870 is therapeutically effective in RA and was well tolerated in this short-term study.

[333] The complete content of all publications, patents and patent applications cited in this description are herein incorporated by reference as if each individual publication, patent or patent application were specifically and individually indicated as being incorporated by reference.

[334] The foregoing invention has been described above in some detail by way of illustration and example for the purposes of clarity of understanding. The above examples are provided for exemplification purposes only and are not intended to limit the scope of the invention, which has been described in broad terms before the examples. It will be readily apparent to one skilled in the art in light of the teachings of this invention that changes and modifications can be made without departing from the spirit and scope of the present invention.

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